



July 2024

DoD Subcontracting Program—Business Rules and Processes for (1) Electronic Subcontracting Reporting System (eSRS) and (2) Preparing and Reviewing Related Subcontract Reports

Office of Small Business Programs

U.S. DEPARTMENT OF DEFENSE



Table of Contents

OVERVIEW OF THE DOCUMENT	3
CHANGE CONTROL PROCESS	3
VERSION CONTROL	4
1. ROLES AND RESPONSIBILITIES	8
1.1 DoD Small Business Policy and Subcontracting Associate Director	8
1.2 Small Business Liaison Officer (SBLO)	8
1.3 Department/Agency Small Business Subcontracting Program Manager	8
1.4 Procuring Contracting Officer (PCO)	8
1.5 Administrative Contracting Officer (ACO)	9
1.6 Department/Agency eSRS Agency Coordinator	9
1.7 Small Business Professional	9
1.8 Summary Subcontract Report (SSR) Review Team	9
2. GOVERNMENT REGISTRATIONS IN eSRS	10
2.1 User Roles, Registration Levels and Applicability	10
2.2 DoD eSRS Registrations	11
2.2.1 DoD OSBP Responsibility	11
2.2.2 Department/Agency eSRS Agency Coordinator Responsibility	11
2.2.3 Registration Issues	12
3 Status of Reports in eSRS	13
4 Subcontracting Plans and Reports	14
5 Preparing and Reviewing the Subcontract Reports Required by Different Subcontracting Plans	15
6 DoD Status Reports	16
6.1 Process for Determining Status of eSRS Reports (ad-hoc report)	16
6.2 Querying Data	16
6.3 Retrieving the ISR Report	16
6.4 Determine Status of ISRs for Department/Agency	21
6.5 Semiannual Status Report from the Departments and Agencies	22
7 Resources	23
7.1 eSRS Agency Coordinators and the Department / Agency Subcontracting Program Managers	23
7.2 Websites and Training Materials	23
7.3 DoD Contractors	23
8 Acronym List	24



List of Tables

Table 1 – Government User Roles in eSRS.....	7-8
Table 2 – Schedule for Reviewing and Submitting Reports.....	12
Table 3 – Acronyms	23-24

List of Appendices

Documents can also be found on the DoD OSBP website at the following link:
<https://business.defense.gov/Acquisition/Subcontracting/DoD-CSP/Subcontracting-Guide/>

- APPENDIX A: eSRS Registration Roles – Screenshots from Different Perspectives
- APPENDIX B: The Basics of Subcontracting
- APPENDIX C: DoD Checklist for Reviewing Subcontracting Plans
- APPENDIX D: DoD Subcontracting Program – Guide for Preparing and Reviewing an Individual Subcontract Report (ISR) for an Individual Subcontracting Plan
- APPENDIX E: DoD Subcontracting Program – Guide for Preparing and Reviewing a Summary Subcontract Report (SSR) for an Individual Subcontracting Plan
- APPENDIX F: DoD Subcontracting Program – Guide for Preparing and Reviewing a Summary Subcontract Report (SSR) for a Commercial Subcontracting Plan
- APPENDIX G: Template: Individual Small Business Subcontracting
- APPENDIX H: Template: Master Small Business Subcontracting Plan
- APPENDIX I: Template: Commercial Small Business Subcontracting Plan



OVERVIEW OF THE DOCUMENT

This document is the result of a coordinated effort between Defense Pricing, Contracting, and Acquisition Policy (DPCAP) and the DoD Office of Small Business Programs (OSBP) to outline and describe some of the processes specific to DoD's Subcontracting Program. This document serves as a guidance document on the business rules and processes the DoD Subcontracting Program, including the various roles and responsibilities of DoD and industry personnel within eSRS; government registrations and the status of reports submitted in eSRS by contractors. This document also provides links to checklists and detailed explanation on subcontracting plans, as well as preparing and reviewing the related subcontract reports, including the Individual Subcontract Report (ISR) and the Summary Subcontract Report (SSR) submitted under various types of subcontract plans, and templates.

The DFARS PGI 219.7 includes the URL to the website where this guidance document is posted and maintained by the DoD OSBP.

Throughout this document, "DoD departments, agencies, and activities" are referred to as "DoD departments and agencies" or "DoD Components."

CHANGE CONTROL PROCESS

DPCAP and OSBP serve as the Change Control Board (CCB) and are ultimately responsible for maintaining and tracking all approved changes to the DoD Business Rules. All proposed changes to this document must be approved by the CCB. However, DPCAP and OSBP depend on the DoD users to identify areas that need clarification or changes. Forward questions or request for changes to OSBP at osd.pentagon.ousd-a-s.mbx.osbp-subcontracting@mail.mil.



VERSION CONTROL

Version	Approval Date	Author	Change Description
1	March 2017	OSBP	Original Version
2	January 2018	OSBP	<ul style="list-style-type: none"> Revised title to be “Business Rules and Processes for Electronic Subcontracting Reporting System (eSRS), Subcontracting Plans, Preparing and Reviewing Related Subcontract Reports”. Revised “List of Appendices” to include “DoD Checklist for Reviewing Subcontracting Plans” as Appendix C and changed letters of remaining appendices accordingly. Revised “Overview of Document”, first paragraph, last sentence to be “This document serves as a guidance document on the business rules and processes for the Electronic Subcontracting Reporting System (eSRS), including the various roles and responsibilities of DoD and industry personnel; government registrations and the status of reports submitted in eSRS by contractors. This document also provides checklists and detailed explanation on subcontracting plans, preparing and reviewing the related subcontract reports, including the Individual Subcontract Report (ISR) and the Summary Subcontract Report (SSR) submitted under various types of subcontract plans.” Revised “Overview of Document”, moved second paragraph at end of first paragraph, revised last sentence to be “This document also provides checklists and detailed explanation on subcontracting plans, as well as preparing and reviewing the related subcontract reports, including the Individual Subcontract Report (ISR) and the Summary Subcontract Report (SSR) submitted under various types of subcontract plans.” Revised “Overview of Document” by inserting new second paragraph, “The DFARS PGI 219.7 includes the URL to the website where this guidance document is posted and maintained by the DoD OSBP.” Revised “Change Control Process”, first sentence with title of the document to be <i>DoD Business Rules and</i>



		<p><i>Processes for the Electronic Subcontracting Reporting System (eSRS), Subcontracting Plans and Preparing and Reviewing Related Subcontracting Reports.”</i></p> <ul style="list-style-type: none">• Revised Section 1.1 to include additional sentence at end, “POC information is provided under Section 7 -- Resources, of this document.”• Revised Section 1.3 to include additional sentence at end, “POC information is provided under Section 7 -- Resources, of this document.”• Revised Section 1.6 to include additional sentence at end, “POC information is provided under Section 7 -- Resources, of this document.”• Revised Section 2.1 to be “User Roles, Registration Levels and Applicability” and expanded Table 1 to reflect changes; deleted Table 2 under 2.2 “User Levels”, information placed in Table 1; revised remaining table numbers after deleting Table 2.• Revised 2.1 to include new paragraph after Table 1, “See Appendix A for screenshots highlighting the difference between menus that a person sees when registered in the different roles in eSRS.”• Revised 2.2 to be “DoD eSRS Registrations”.• Added Section 2.2.1, “DoD OSBP Responsibility”.• Added Section 2.2.2 “Department/Agency eSRS Agency Coordinator Responsibility.”• Added Section 2.2.3, “Registration Issues”.• Revised Section 4, second paragraph to include and explain “Appendix B”.• Revised Section 4 to include new paragraph and explain “Appendix C”.• Revised Section 5 based on the addition of a new Appendix C; references become Appendix D, E and F.• Revised Section 6 to be “DoD Status Reports”.• Added Section 6.1, “Process for Determining Status of eSRS ISR Reports”.• Added Section 6.2, “Semiannual Status Reports from the Departments and Agencies”.• Revised Section 7.1, paragraph to be “Separate lists of the DoD eSRS Agency Coordinators and the Department/Agency Subcontracting Program Managers are posted on the OSBP website at the following URL: http://businessdefesnes.gov/acquisition/subcontracting.”
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			<ul style="list-style-type: none"> • Reformatted Section 7.2 • Revised 7.3 to delete unnecessary sentences. Guidance documents can be used by both government and industry. • Inserted new Appendix A to highlight the differences in menus of the different roles, revised remaining appendices to reflect new Appendix A. • Revised Appendix B date to be “Jan 2018”. • Revised Appendix B, section entitled “Authority”, to add statute for DoD Test Program for a CSP • Revised Appendix B, section entitled “Regulations”, to include updated Class Deviation (2018-O0010), Temporary Extension of CSP Test Program.” • Revised Appendix B, Section entitled “Mandatory Elements Included in Subcontracting Plan” to be “Summary of the 15 • Mandatory Elements to be Included in Subcontracting Plans”. • Revised Appendix B, Section entitled “Subcontracting Resources” to include “DoD Checklist for Reviewing Subcontracting Plan” and the URL. • Revised Appendix C to be new document, <i>DoD Checklist for Reviewing Subcontracting Plans (Jan 2018)</i>. • Revised Appendix D to be <i>Guide to Preparing and Reviewing an Individual Subcontract Report (ISR) for an Individual Subcontracting Plan (Jan 2018)</i>. • Revised Appendix E to be <i>Guide to Preparing and Reviewing a Summary Subcontract Report (SSR) for an Individual Subcontracting Plan (Jan 2018)</i>. • Revised Appendix F to be <i>Guide to Preparing and Reviewing a Summary Subcontract Report (SSR) for a Commercial Subcontracting Plan (Jan 2018)</i>. • Revised Appendix F, Section entitled “Includes What?” by highlighting and bolding the “and” in the last line of the 4th bullet. • Updated footer to include revised date / version number and PGI reference.
3	March 2018	OSBP	<ul style="list-style-type: none"> • Revised date on title page to be “Mar 2018.” • Revised Appendix B date to be “Mar 2018.” • Revised Appendix B, section entitled “Commercial



			<ul style="list-style-type: none"> • Subcontracting Plan”, to include a 6th bullet in the list: “Plan should identify the awarded contract subject to the plan (contract with latest completion date)” • Revised Appendix B, section entitled “Summary of the 15 • Mandatory Elements to be Included in Subcontracting Plans”, 1st bullet and sub-bullet to be “Separate goals for each SB category, expressed in terms of dollars to be subcontracted” and “For Individual Subcontracting Plans CO may request additional goals to be expressed in terms of total contract dollars.” • Revised Appendix C date to be “Mar 2018.” • Revised Appendix C, Item # 21, section “Instructions / Notes” to include “Agencies means Federal agencies” at the end of the note. • Revised Appendix C, Item # 44, section “Elements of a • Subcontracting Plan”, to be • “Does the commercial plan list the contracts or CAGE codes and related DUNS #s of the contracts covered under the plan? Does the commercial plan identify the one awarded contract subject to the plan (contract with latest completion date)?” • Revised Appendix D date to be “Mar 2018.” • Revised Appendix E date to be “Mar 2018.” • Revised Appendix F date to be “Mar 2018” Revised Appendix F, Item K, section “Preparing SSR” to include additional guidance after the NOTE, “Contractor includes the contract # of the one awarded contract subject to the plan (contract with latest completion date)”
4	July 2024	OSBP	<ul style="list-style-type: none"> • Adopted new DoD OSBP branded template • Added new subcontracting templates • Updated hyperlinks and email addresses • Updated position and organizational titles • Updated eSRS processes • Removed blank pages



1. ROLES AND RESPONSIBILITIES

Personnel from government and contractors have specific roles and responsibilities related to subcontracting plans and the reporting requirements under each of the plans.

1.1 DoD Small Business Policy and Subcontracting Associate Director

The DoD Small Business Policy and Subcontracting Associate Director has the overall responsibility for implementing the Subcontracting Program for the DoD, including policy development, oversight and monitoring, and is located in the DoD OSBP. The DoD Small Business Policy and Subcontracting Associate Director or designee is registered in eSRS at the top level of DoD (9700) and has the role of “Super User” in eSRS. POC information is provided under “Section 7, Resources”, of this document.

1.2 Small Business Liaison Officer (SBLO)

The Small Business Liaison Officer (SBLO) is the contractor’s representative responsible for implementing and monitoring the subcontracting program for the contractor. Responsibilities for the SBLO include monitoring company goals and actuals, ensuring compliance with subcontracting plans, regulations, policies and flow-down clauses. In addition, SBLOs must ensure that their subcontractors periodically certify their size and socio-economic status and review the ISRs submitted by their next-tier large business subcontractors. The SBLO is also responsible for ensuring that the company’s reports are submitted on time in the eSRS.

1.3 Department/Agency Small Business Subcontracting Program Manager

The Small Business Subcontracting Program Manager is designated by their department or agency and is responsible for implementing and monitoring their overall small business subcontracting program. This includes providing related training on subcontracting; overseeing the review of contractors’ required subcontract reports; and submitting the semi-annual eSRS status reports to OSBP and DPCAP. The designated Subcontracting Program Manager must be registered in eSRS at the top of the department or agency (e.g., for the Army, the person would be registered at the 2100 level; for the Navy, 1700 level; for the Air Force, 5700 level; or for NAVFAC under the Navy, etc.) and has the role of “Point of Contact (POC)” in eSRS. POC information is provided under “Section 7, Resources”, of this document.

1.4 Procuring Contracting Officer (PCO)

The Procuring Contracting Officer (PCO) is warranted and has the authority to enter into, administer, and/or terminate contracts on behalf of the Government. The PCO typically resides in a contracting activity that has broad authority to conduct acquisition functions. The PCO can keep contract administration functions or delegate all or any part of the administration process to a contract administration office per FAR 42.3 “Contract Administration Office Functions.” Should the PCO retain all administrative responsibilities, the PCO is also deemed the Administrative Contracting Officer (ACO). The PCO is responsible for “acknowledging receipt” or “rejecting” the



ISR submitted by the prime contractor, even if the contract administration has been delegated to another organization, such as DCMA. The PCO is also responsible for the SSR submitted under the Commercial Subcontracting Plan which the PCO approved. The PCO has the role of “Contracting Official (CO)” in eSRS.

1.5 Administrative Contracting Officer (ACO)

Administrative Contracting Officers (ACOs) are warranted contracting officers that reside in a contract administration office (e.g., DCMA) and receive contract administration responsibilities as delegated by the PCOs. The list of actions that can be delegated by the PCO to the ACO can be found in FAR 42.3 “Contract Administration Office Functions.” The ACO is not responsible for “acknowledging receipt” or “rejecting” ISRs or SSRs. The ACO has the role of “Designated Government User (DGU)” in eSRS.

1.6 Department/Agency eSRS Agency Coordinator

The eSRS Agency Coordinator is designated by their agency with responsibilities pertaining to the systems side of the eSRS. In this capacity, the eSRS Agency Coordinator is responsible for reviewing and approving personnel registrations. The designated eSRS Agency Coordinator must be registered in eSRS at the top of the department, agency or organization, (e.g., for the Army, the person would be registered at the 2100 level; for the Navy, 1700 level; for the Air Force, 5700 level; or for NAVFAC under the Navy, etc.). The eSRS Agency Coordinator has the role of “Agency Coordinator (AC)” in eSRS. POC information is provided under “Section 7, Resources”, of this document.

1.7 Small Business Professional

The Small Business Professional, among other duties, is responsible for implementing and monitoring their organization’s small business program, which includes subcontracting. The Small Business Professional assists and supports the Contracting Officer in the review of subcontracting plans and the evaluation of contractor’s performance and compliance with its subcontracting plan. The Small Business Professional has the role of “Designated government User (DGU)” in eSRS.

1.8 Summary Subcontract Report (SSR) Review Team

The Summary Subcontract Report (SSR) Review Team consists of members from the DCMA Small Business Center who assists the DoD OSBP with the review of DoD SSRs under the Individual Subcontract Plan, which are submitted in eSRS annually at the end of the government fiscal year by contractors. The review effort takes place from November through December. DoD OSBP and DCMA have a Memorandum of Understanding which outlines the effort and schedule. Members from the SSR Review Team have the role of “Super User” in eSRS.



2. GOVERNMENT REGISTRATIONS IN eSRS

2.1 User Roles, Registration Levels and Applicability

Role	Registration	Applicability
Super User	<ul style="list-style-type: none"> • Agency: <ul style="list-style-type: none"> ✓ Dept of Defense (9700) ✓ Other components or organizations as necessary • User Level: <ul style="list-style-type: none"> ✓ Agency ✓ Other levels as necessary 	<ul style="list-style-type: none"> • DoD Small Business Policy and Subcontracting Associate Director • Has full access to eSRS for DoD, including all departments and agencies • Able to view/accept/reject all contractor ISRs and SSRs, although does not have this responsibility • Able to run reports on all data
Agency Coordinator (AC)	<ul style="list-style-type: none"> • Agency: <ul style="list-style-type: none"> ✓ Top Level of Department/agency ✓ Dept of Defense (9700) as necessary • User Level: <ul style="list-style-type: none"> ✓ Agency 	<ul style="list-style-type: none"> • eSRS Coordinator for DoD department, agency or organization • Has full access to eSRS for their parent organization, sub-commands, and below • Manages and approves organization registration requests • Able to view/accept/reject contractor ISRs and SSRs, although does not have this responsibility • Able to run reports on data at registered agency level • Assist Subcontracting Program Manager with preparing component eSRS status report
Point of Contact (POC)	<ul style="list-style-type: none"> • Agency: <ul style="list-style-type: none"> ✓ Top Level of Department/agency ✓ Dept of Defense (9700) as necessary • User Level: <ul style="list-style-type: none"> • Agency 	<ul style="list-style-type: none"> • Subcontracting Program Manager for the department, agency or organization • Has same access to eSRS as the AC • Responsible for preparing and submitting component's eSRS status report to DPCAP and OSBP
Contracting Official (CO)	<ul style="list-style-type: none"> • Agency: <ul style="list-style-type: none"> ✓ Contracting organization ✓ Dept of Defense (9700) as necessary • User Level: <ul style="list-style-type: none"> ✓ Contracting Office 	<ul style="list-style-type: none"> • Individual responsible for awarding the contract (PCO) or approving the Commercial Subcontracting Plan • Responsible for reviewing/accepting/rejecting contractor ISRs for specific contracts and SSRs under



	<ul style="list-style-type: none"> • Contracting Office Number 	Commercial Subcontracting Plan which he/she approved <ul style="list-style-type: none"> • Able to run reports on data at their registered agency level
Designated Government User (DGU)	<ul style="list-style-type: none"> • Agency: <ul style="list-style-type: none"> ✓ Department/Agency/Contracting Organization which he/she supports ✓ Top Level of Department/agency ✓ Dept of Defense (9700) as necessary • User Level: <ul style="list-style-type: none"> • Agency 	<ul style="list-style-type: none"> • Small Business Professional, ACO, Source Selection Official, GAO or IG Official • Able to view contractor ISRs and SSRs, cannot take action • Able to run reports on data at their registered agency level

Table 1 - Government Registrations in eSRS

See Appendix A, **eSRS Registration Roles – Screenshots from Different Perspectives**, for screenshots highlighting the difference between menus that a person sees when registered in the different roles in eSRS posted on <https://business.defense.gov/Acquisition/Subcontracting/>

2.2 DoD eSRS Registrations

2.2.1 DoD OSBP Responsibility

The DoD Small Business Policy and Subcontracting Associate Director or designated representative is responsible for addressing requests to register at the “Dept of Defense (9700)” level from GAO, DoD IG and will contact the registrant to determine the need to view contractors’ performance (ISR) on various contracts or other subcontracting reports. If need is legitimate, the approved registration should be as follows:

- Agency = Dept of Defense (9700)
- User Level = Agency
- Role = DGU

The DoD Small Business Policy and Subcontracting Associate Director or designated representative is also responsible for identifying a company as a “CSP Participant” in the company’s registration. If the company participates in the DoD Test Program for a Comprehensive Subcontracting Plan (CSP), the participant will have a unique entity Identifier (UEI) number under which to register when entering or exiting the DoD CSP Test Program.

2.2.2 Department/Agency eSRS Agency Coordinator Responsibility

The Department/Agency eSRS Agency Coordinator is responsible for addressing all requests to register at the agency level or at the “Dept of Defense (9700)” when the contracting office code or email address of the requester belongs to the department or



agency. Officials from Department or Agency IG or Source Selection Panels need to view contractor's performance (ISR) on various contracts awarded by department/agency contracting organizations. Contact the registrant to determine the need to view contractors' subcontracting reports. If the need is legitimate, the approved registration should be as follows:

- Agency = Dept of Defense (9700)
- User Level = Agency
- Role = DGU

If the person's registration includes a DODAAC number, contact the registrant to verify the DODAAC. Utilize "FPDS Active Issuing Contracting Office Report" to determine the contracting organization in the DoD hierarchy. Get an updated report at least annually.

2.2.3 Registration Issues

The awarding contracting official, who is responsible for acting on ISRs for contracts they award, may not be able to see and/or take action on the reports when eSRS shows "Target Agency" to be different from the awarding contracting office. To determine where the system positions or places the report in eSRS, check Item # 4 – Awarding Agency, in the second section of the ISR. For the awarding contracting official to be able to see and take action on the ISR, the eSRS registration must include the following:

- Agency = Dept of Defense (9700)
- User Level = Agency
- Role = Contracting Official

eSRS allows users who have had their accounts disabled due to IT security, a new password is required every 90 days. The system will notify the registrant to re-set their accounts and create a new password via a link provided in the email.

When you encounter a registration where "Agency" is missing, only the Federal Services Desk can resolve.



3 Status of Reports in eSRS

- **Pending (PEN):** The report has been submitted by the contractor and is waiting to be “Accepted” or “Rejected” by the appropriate Government contracting official.
- **Accepted (ACC):** A Government contracting official has reviewed the report and has found no known errors, issues, or concerns and does not require any clarification, information, or corrections at this time; they acknowledged receipt of the report; even though a report has been “Accepted,” it can be reviewed again and reopened or rejected at any time.
- **Rejected (REJ):** A Government contracting official has reviewed the report and has found errors, issues, or concerns and requires clarification, information, or corrections to the report.
- **Revised (REV):** The original report was rejected by the appropriate Government contracting official; the report has been revised by the contractor, resubmitted, and is waiting to be “Accepted” or “Rejected” by the appropriate Government contracting official.
- **Reopened (RPN):** The report has been opened by a Government contracting official after previously being “Accepted” into the system; this may occur when the Government contracting official finds errors or issues with the original report; once the report has been reopened, it may be “Accepted” or “Rejected” again.



4 Subcontracting Plans and Reports

Per FAR 52.219-9, Small Business Subcontracting Plan, contractors submit subcontract reports required by their specific subcontracting plan.

A. Appendix B. DoD Subcontracting Program Fact Sheet – The Basics of Subcontracting provides for a concise overview of the policies related to subcontracting, the various types of subcontracting plans, their elements and reporting requirements. The information is presented in a way which enables anyone to easily and quickly discern the overall differences and similarities among the subcontracting plans. In addition, the fact sheet describes the different socioeconomic categories when considering a small business as a subcontractor. Other information includes a list of resources which contracting officers/specialists and small business professionals can utilize to gain additional information and guidance, whether developing solicitations or reviewing subcontracting reports in the pre- or post-award phases of the acquisition process.

B. Appendix C. DoD Checklist for Reviewing Subcontracting Plans is a checklist which the Contracting Officers, Contracting Officials, Small Business Professionals may use when reviewing offerors’ subcontracting plans in accordance with FAR 19.705-4 and PGI 219.705-4, to ensure the plan addresses all of the required elements. The checklist serves as a basis and can be expanded to include additional space to address the relevance of the proposed goals, market research information, signatures for approval, etc. The checklist can also be used by contractors when preparing a subcontracting plan.

The following table shows the various subcontract reports required by each subcontracting plan, the submission and review due dates for the contractor to submit various subcontract reports and for the department/agency to take action.

Type Report	Type Subcontracting Plan	Due Date from Contractor	Reviewer		Due Date for Department/ Agency Action
			Prime Contract	Lower Tier Subcontract	
ISR/SF 294	Individual	Apr / Oct 30	PCO who awarded contract	SBLO, Contractor awarding the subcontract	Jun / Dec 15
	Commercial	Not required	Not required	Not required	Not required
	Comprehensive	Not required	Not required	Not required	Not required
SSR	Individual	Oct 30	DoD SSR Review Team	DoD SSR Review Team	Dec 15
	Commercial	Oct 30	CO who approved commercial plan	Not applicable	Dec 15
	Comprehensive	Apr / Oct 30	DCMA CSP Team	DCMA CSP Team	Jun / Dec 15

Table 2 – Schedule for Submitting and Reviewing Reports



5 Preparing and Reviewing the Subcontract Reports Required by Different Subcontracting Plans

Included are several guides to assist contracting officers/specialists and small business professionals from government and industry. The guides can be used by the contractor when preparing the report and by the contracting officer when reviewing the report. Each guide contains information about the subcontract report, a breakdown of the data contained in the report, roles and responsibilities and required actions. In addition, the guides offer pertinent information which must be considered when preparing or reviewing the report.

Appendix D, DoD Subcontracting Program – Guide for Preparing and Reviewing an Individual Subcontract Report (ISR) for an Individual Subcontracting Plan

Appendix E, DoD Subcontracting Program – Guide for Preparing and Reviewing a Summary Subcontract Report (SSR) for an Individual Subcontracting Plan

Appendix F, DoD Subcontracting Program – Guide for Preparing and Reviewing a Summary Subcontract Report (SSR) for a Commercial Subcontracting Plan



6 DoD Status Reports

6.1 Process for Determining Status of eSRS Reports (ad-hoc report)

When determining the status of your component's ISRs in eSRS, run an "ad hoc" report to collect all ISRs submitted in eSRS for **ALL** contracts awarded under DoD. Selecting any other reporting method will not capture awards being administered by another DoD agency, such as DCMA, ONR or another contracting organization. This is an issue because eSRS "places" the ISR under the organization that issued the last contract modification prior to the contractor submitting the ISR. In eSRS this is the "Target Agency".

Use the following steps (Section 6.2-6.4) to develop an ad-hoc report and determine the status of ISRs.

6.2 Querying Data

There are many methods through which to query eSRS data for specific or *ad hoc* reports. Due to the quantity of options available, only those necessary for the DoD OSBP office responsibilities will be discussed within this document. As responsibilities are added, this section will be updated to guide users through the specific query processes.


6.3 Retrieving the ISR Report






1. Log into eSRS.gov and into Login.gov per instructions for at top of this document.
2. Once on the eSRS.gov dashboard page, click on "Reporting" along the left panel.



3. At this point, you can either select and re-run a previous report (recommended) (proceed to Step "3(a)," below) or generate a new report query (for initial dB report data pull) (proceed to Step "4," immediately below)

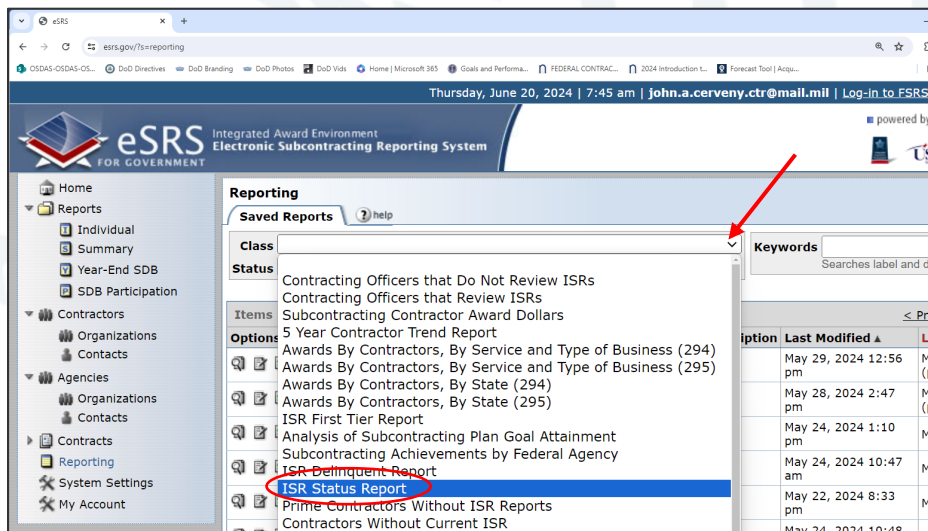


3(a). To re-run a previous report, click on the  icon to the left of the report you want to re-run.

Options	Class	Label	Description
	Individual Subcontract Report	Monthly ISR Status Report	
	Individual Subcontract Report	[f294] John Cerveny / 2024-07-12 16:15:59	
	Individual Subcontract Report	[f294] John Cerveny / 2024-07-09 11:17:49	
	Agency Contact	[agency_contact] John Cerveny / 2024-06-28 12:13:52	
	Agency Contact	[agency_contact] John Cerveny / 2024-06-28 11:41:10	

3(b). Proceed to Step “10,” below.

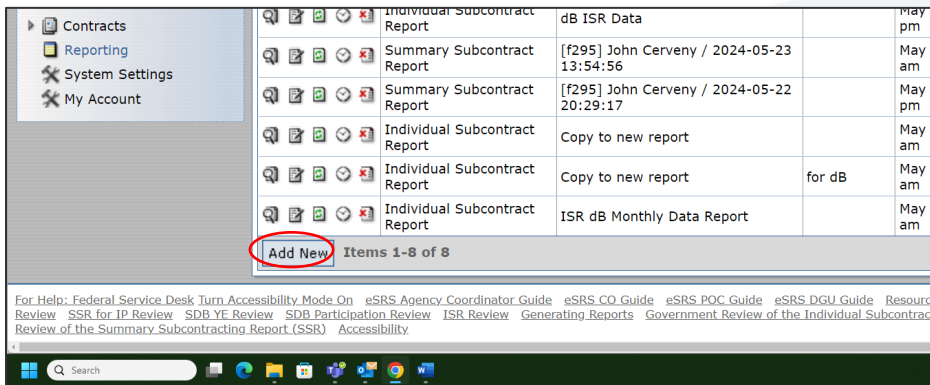
4. Click in the down arrow, indicated by arrow below, and select “ISR Status Report” from the drop-down menu.



The screenshot shows the eSRS Reporting interface. A dropdown menu is open under the 'Class' field, listing various report types. The 'ISR Status Report' option is highlighted with a red circle. A red arrow points to the dropdown arrow. The interface also shows a 'Keywords' search field and a table of report items with columns for 'Option', 'Last Modified', and 'Last'.



5. Click on “Add New.”



6. Click on the down arrow, indicated by the arrow below, and select “Individual Subcontract Report.”



7. Click “Continue.”





8. Select the criteria indicated by the in the lists below. Use the scroll bar, indicated by the arrow below, to scroll down the list.

Note: The criteria to select are:

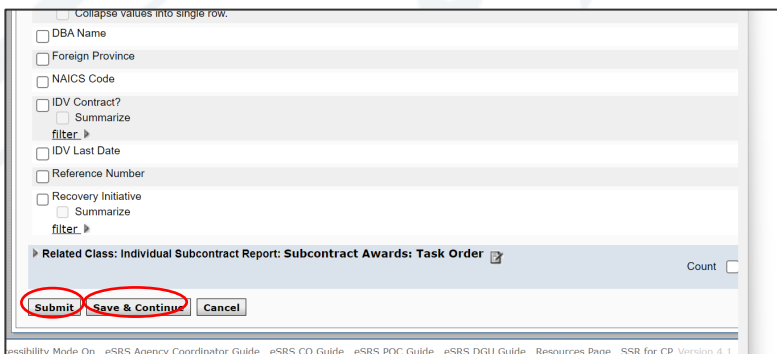
1. Status
2. Contract
3. Target Agency (select your agency from the drop-down menu. If you forget this part, you will get a larger set of results that will need to be filtered later.
4. Report Submitted As: select "Prime Contractor"
5. Agency Awarding Contract
6. Contracting Office Agency ID
7. Contracting Office Agency Name
8. Contracting Office ID (this is not the same as the Contracting Office Agency ID)
9. Contracting Office ID (this is not the same as the Contracting Office Agency name)
10. Reporting Period Month: Select the Start Month and Year and the Ending Month and Year for the semi-annual reporting period. For example:
 - a. Mar 31, 2024 to Sep 30, 2024
 - b. Sep 30, 2023 to Mar 31, 2024



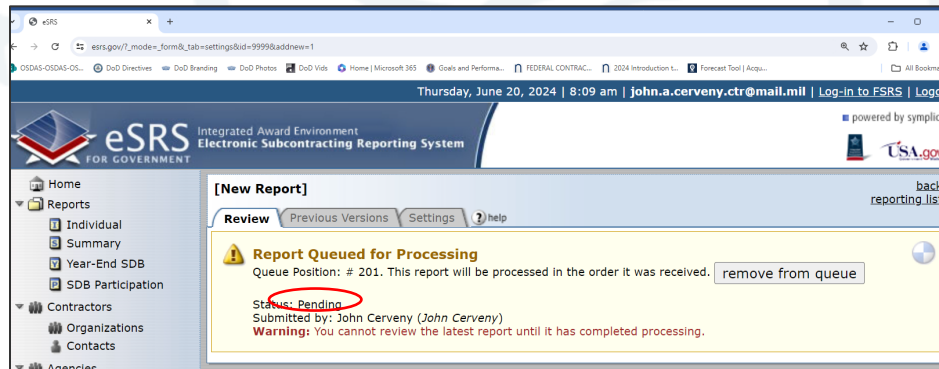
Note: You may select a broader time span (e.g., 1 year, 18 months, etc.) but it is not necessary for this reporting.

- 11. Reporting Period Year
- 12. Routed to Other Administering Activity
- 13. Administering Activity
- 14. Other Administering Office

- 9. Once you have selected the criteria and set necessary filters, per step 8 above, click on “Submit” or “Save & Continue.”
 - a. Clicking “Submit” generates the report per Step “9,” below.
 - b. Clicking “Save & Continue” saves the query parameters to a named list query, as shown in Step “5,” above.



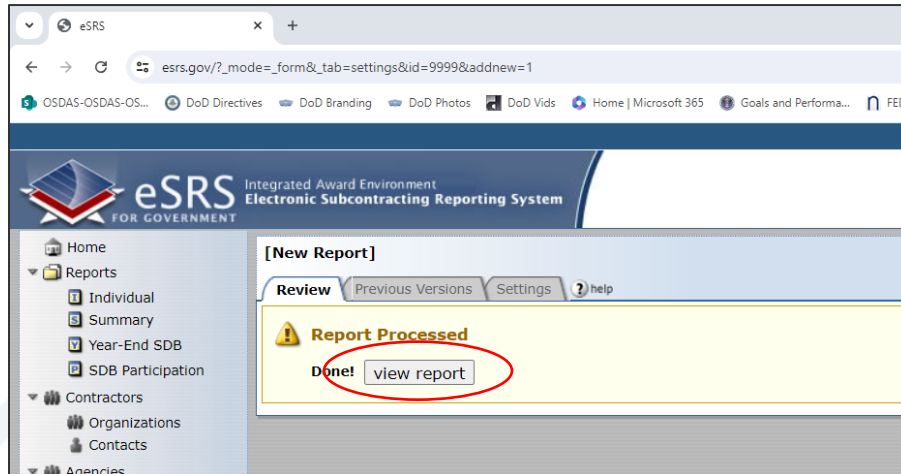
- 10. This page displays the progress of the report generation. The “Status” will indicate the current phase of report generation.



Note: The process steps are:

1. “Pending”
2. “Querying Database”
3. (##%) Processed: indicates percentage complete
4. Adding row to Excel
5. Excel Complete

- 11. After “Excel Complete,” eSRS will display the following screen. Click on “view report,” to view the report.



12. On the next screen, click on “Export to Excel” to export a copy of the report to your computer. Note where you save it to, so you may access the excel file.

6.4 Determine Status of ISRs for Department/Agency

1. Insert “Filter” function into each column header (row 1)
2. Column E (Agency Awarding Contract) filter:
 - a. Select only Dept of Defense, DoD Departments/Agencies and “blanks”
 - b. This will create the “Master List” for all ISRs belonging to DoD
3. Column G (Contracting Office Agency Name) filter
 - a. Select your agency
 - i. Example – Dept of Navy or 9776
 - b. This will create the “Master List” for all ISRs belonging to a department/agency
4. Column A (Individual Subcontract Report: Status) filter:
 - a. Select each status category separately to determine the number of ISRs in each: “Accepted”, “Pending”, “Rejected”, “Reopened”, “Revised”
 - b. To determine number of ISRs requiring review and/or follow up, Select “Pending”, “Rejected”, “Reopened”, “Revised”
This will create the “Master List” of ISRs a department/agency must address, resolve, follow up on, and ultimately accept.
5. Column C (Target Agency) filter. This can be a one or two-step process. However, the resulting list are those ISRs which are not “sitting” at the awarding contracting office “door”. The awarding contracting official may not be able to see and/or take action on the report.
 - a. Select ALL that are not in **your department/agency**
 - i. Example –Dept of Defense (9700); Defense Contract Management Agency; Comprehensive Subcontract Program Division; and ONR.
 - b. Select ALL that are not in the **Awarding Contracting Office of your organization**. Instead, these are sitting at an old (no longer existing)



contracting office or at the contracting office that administers the contract.

In both scenarios, the awarding contracting official will need to be notified. The eSRS registration will need to be changed to include an additional role at Dept of Defense (9700), as a contracting official and at the agency level.

As a result of this process, the user will be able to determine the status of an agency's ISR's, and of those ISR's which have an issue with "Target Agency" not being the Awarding Contracting Office.

6.5 Semiannual Status Report from the Departments and Agencies

June 30 and December 30 of each year, each Department / agency Subcontracting Program Managers are responsible for submitting the semi-annual eSRS status report to OSBP and DPCAP. The purpose is to provide a status of the reporting activities for the preceding reporting period and should include the following:

- Number of outstanding reports (ISR's and SSR's, under a Commercial Subcontracting Plan) which requires action to be taken by the Government, depending on the status of the report along with supporting rationale
- Plans to reduce the number of outstanding reports (to include a timeline for corrective actions)
- Barriers preventing acknowledgement of receipt or rejection action on reports

All eSRS reports submitted by contractors after the due date for department/agency action (June 15 and December 15) shall be included in the eSRS Status Report for the next reporting period.



7 Resources

7.1 eSRS Agency Coordinators and the Department / Agency Subcontracting Program Managers

Individual lists of eSRS Agency Coordinators for each of the DoD departments and agencies can be found by initiating a general search via the “Agencies”>”Organization” menu on the left of the eSRS system at <https://www.esrs.gov/>. A current list of Department/Agency Subcontracting Program Managers can be obtained by sending an email to the DoD OSBP Subcontracting mailbox: osd.pentagon.ousd-a-s.mbx.osbp-subcontracting@mail.mil.

7.2 Websites and Training Materials

Training materials can be found on the eSRS website at [Resources - eSRS](#). Guidance documents are maintained and updated on the DoD OSBP website on a regular basis for guides, subcontracting plan templates, and more at <http://business.defense.gov/Acquisition/Subcontracting/>.

The Defense Acquisition University (DAU) has a Small Business Community which serves as a platform to connect Small Business Professionals and Acquisition Workforce Members from across multiple career fields, offering them a chance to talk, share, and acquire knowledge about key Small Business topics. <https://www.dau.edu/cop/sb>

The DoD OSBP receives questions, comments and/or recommendations at osd.pentagon.ousd-a-s.mbx.osbp-subcontracting@mail.mil

7.3 DoD Contractors

An e-mail notification is sent to DoD contractors annually in August with a link to the guidance documents, which are maintained and updated on the DoD Office of Small Business Programs website on a regular basis (at least annually): <http://business.defense.gov/Acquisition/Subcontracting/>



8 Acronym List

AC	Agency Coordinator
ACC	Accepted
ACO	Administering Contracting Office or Officer
ANC	Alaska Native Corporation
BOA	Basic Ordering Agreement
BPA	Blanket Purchase Agreement
CAR	Contract Action Report
CCB	Change Control Board
CEO	Chief Executive Officer
CO	Contracting Office or Officer
CSP	Comprehensive Subcontracting Plan
DCMA	Defense Contract Management Agency
DFARS	Defense Federal Acquisition Regulation Supplement
DGU	Designated Government User
DPCAP	Defense Pricing, Contracting, and Acquisition Policy
eSRS	Electronic Subcontracting Reporting System
FAR	Federal Acquisition Regulation
FPDS	Federal Procurement Data System
GSA/FSS	General Services Administration/Federal Supply Schedule
IDV	Indefinite Delivery Vehicle
ISR	Individual Subcontract Report
NAICS	North American Industry Classification System
DoD OSBP	DoD Office of Small Business Programs
PEN	Pending
PCO	Procuring Contracting Office or Officer
PIID/IDVPIID	Practice Implementation Indicator Description/Indefinite Delivery Vehicle PIID
POC	Point of Contact
REJ	Rejected
REV	Revised
RPN	Reopened
SAM	System for Award Management
SBLO	Small Business Liaison Officer
SDB	Small Disadvantaged Business
SSR	Summary Subcontract Report
UEI	Unique Entity Identifier

Table 3 – Acronym List

APPENDIX A

eSRS Registration Roles – Screenshot from Different Perspectives

Super-User Screen

The screenshot displays the eSRS (Integrated Award Environment Electronic Subcontracting Reporting System) Super-User interface. At the top, a green banner reads "UNCLASSIFIED//FOUO". The browser address bar shows the URL "https://www.esrs.gov/index?_hat=783870ae5db430142f4df0f2a233" and indicates the user is "Identified by Entrust". The page header includes the eSRS logo and the text "FOR GOVERNMENT". A navigation sidebar on the left lists menu items: Home, Reports (with sub-items: Individual, Summary, Year-End SDB, SDB Participation), Contractors (with sub-items: Organizations, Contacts), Agencies (with sub-items: Organizations, Contacts), Contracts, Reporting, System Settings, and My Account. The main content area, titled "eSRS", features a "Pending Actions" section with the following items:

- [33 New Agency Contact Registrations](#)
- [1664 Individual Report\(s\) Pending](#)
- [152 Summary Report\(s\) Pending](#)
- [963 Year-End Report\(s\) Pending](#)
- [126 SDB Participation Report\(s\) Pending](#)

A note below these items states: "Note: Some pending Summary and Year-End Reports may not require action on your part, but should be reviewed for accuracy." To the right of the pending actions is a table titled "eSRS - Last Updated" with the following entries:

eSRS - Last Updated
Agencies
Agency Contacts
Accepted ISRs
Accepted SSRs
Accepted Year-En
Accepted SDBs

Can Select from Side Menu:

- Home
- Reports
- Contractors
- Agencies
- Contracts
- Reporting
- System Settings
- My Account

Agency Coordinator Screen

The screenshot displays the eSRS (Electronic Subcontracting Reporting System) interface for an Agency Coordinator. At the top, a green bar indicates the document is 'UNCLASSIFIED//FOUO'. The browser address bar shows the URL: https://www.esrs.gov/index?_hat=d53886744b4208912e7378. The page header includes the eSRS logo and the text 'Integrated Award Environment Electronic Subcontracting Reporting System FOR GOVERNMENT'. A left-hand navigation menu lists: Home, Reports (with sub-items: Individual, Summary, Year-End SDB, SDB Participation), Agencies (with sub-items: Organizations, Contacts), Contracts, Reporting, and My Account. The main content area, titled 'eSRS', shows a 'Pending Actions' section with the following items:

- [11 New Agency Contact Registrations](#)
- [325 Individual Report\(s\) Pending](#)
- [36 Summary Report\(s\) Pending](#)
- [30 Year-End Report\(s\) Pending](#)
- [34 SDB Participation Report\(s\) Pending](#)

A note below the list states: *Note: Some pending Summary and Year-End Reports may not require action on your part, but should be reviewed for accuracy.*

Can Select from Side Menu
(black only):

- Home
- Reports
- **Contractors**
- Agencies
- Contracts
- Reporting
- **System Settings**
- My Account

POC Screen

The screenshot displays the eSRS (Electronic Subcontracting Reporting System) web application. At the top, there is a green bar with the text "UNCLASSIFIED//FOUO". Below this is a browser window showing the URL "https://www.esrs.gov/index?_hat=b48c4b9ff7cdd2f634bcf35" and the page title "General Services Administr...". The browser's menu bar includes "File", "Edit", "View", "Favorites", "Tools", and "Help". The date "Wednesday, January 1" is visible in the top right corner.

The main content area features the eSRS logo and the text "Integrated Award Environment Electronic Subcontracting Reporting System FOR GOVERNMENT". A navigation menu on the left lists the following items:

- Home
- Reports
 - Individual
 - Summary
 - Year-End SDB
 - SDB Participation
- Agencies
 - Organizations
 - Contacts
- Contracts
- Reporting
- My Account

The main content area is titled "eSRS" and includes a "Home" link and a "help" link. Below this, a section titled "Pending Actions" lists the following items:

- [11 New Agency Contact Registrations](#)
- [325 Individual Report\(s\) Pending](#)
- [36 Summary Report\(s\) Pending](#)
- [30 Year-End Report\(s\) Pending](#)
- [34 SDB Participation Report\(s\) Pending](#)

A note at the bottom of the pending actions section reads: "Note: Some pending Summary and Year-End Reports may not require action on your part, but should be reviewed for accuracy."

Can Select from Side Menu (black only):

- Home
- Reports
- **Contractors**
- Agencies
- Contracts
- Reporting
- **System Settings**
- My Account

Contracting Official Screen

The screenshot displays the eSRS (Electronic Subcontracting Reporting System) interface for a Contracting Official. At the top, a green bar indicates the document is UNCLASSIFIED//FOUO. The browser address bar shows the URL: https://www.esrs.gov/index?_hat=0a60029f871389796568a4f. The page header includes the eSRS logo and the text "Integrated Award Environment Electronic Subcontracting Reporting System FOR GOVERNMENT". A navigation menu on the left lists: Home, Reports (with a dropdown arrow), Individual, Summary, Year-End SDB, SDB Participation, Contacts, Contracts, Reporting, and My Account. The main content area, titled "eSRS Home", features a "Pending Actions" section with the following items:

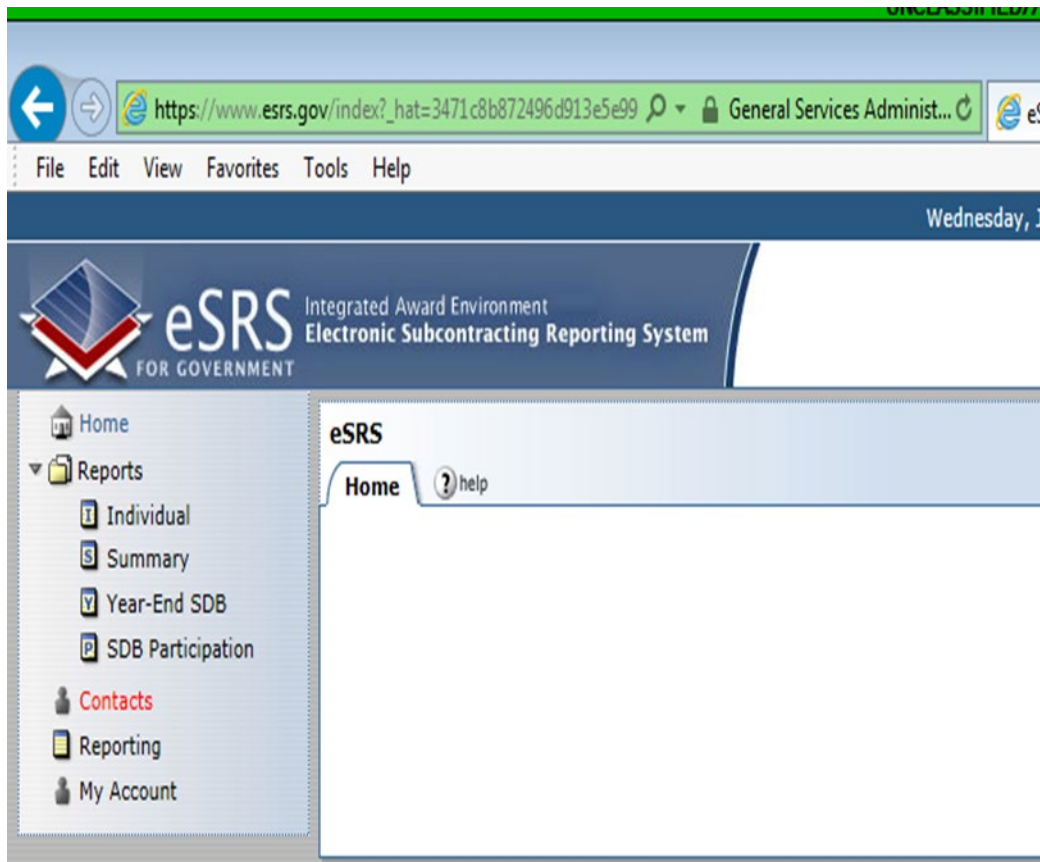
- [325 Individual Report\(s\) Pending](#)
- [36 Summary Report\(s\) Pending](#)
- [30 Year-End Report\(s\) Pending](#)
- [34 SDB Participation Report\(s\) Pending](#)

A note at the bottom of the pending actions section reads: *Note: Some pending Summary and Year-End Reports may not require action on your part, but should be reviewed for accuracy.*

Can Select from Side Menu
(black only):

- Home
- Reports
- Contractors
- Agencies
- Contracts
- Reporting
- System Settings
- My Account

Designated Government User Screen



Can Select from Side Menu
(black only):

- Home
- Reports
- Contractors
- Agencies
- Contracts
- Reporting
- System Settings
- My Account

APPENDIX B

The Basics of Subcontracting

DoD SUBCONTRACTING PROGRAM

The Basics of Subcontracting (July 2024)

Regulatory Requirements

Authority:	<ul style="list-style-type: none"> Section 8(d) Small Business Act – 15 USC 637(d) 	<ul style="list-style-type: none"> Specifies that small businesses will have maximum practicable opportunity to participate in contract performance consistent with efficient performance (§ 637(d)(1)) Requires the agency to collect data on the extent to which the contractor meets the goals of the subcontracting plan
	<ul style="list-style-type: none"> Section 15(g) Small Business Act – 15 USC 644(g) 15 USC 637 Note 	<ul style="list-style-type: none"> Specifies government-wide goals for contracts and subcontracts awarded to small business concerns (§ 644(g)) Includes reporting on subcontracting (644(h)) DoD Test Program for Comprehensive Subcontracting Plan (“Modifications of Test Plan ...”, (§ 637(g) Note))
Regulations:	<ul style="list-style-type: none"> FAR 19.7 / DFARS 219.7 FAR 52.219-9, Small Business Subcontracting Plan, and Alternate III FAR 52.219-8, Utilization of Small Business Concerns DFARS 252.219-7003, Small Business Subcontracting Plan DFARS 252.219-7004, Small Business Subcontracting Plan (Test Program) 	<ul style="list-style-type: none"> Subcontracting plan requirement Challenge any subcontracting plan that does not contain positive goals Upper-tier contractor flows down requirements for subcontracting plan Prime contractors and subcontractors submit ISRs and/or SSRs independently in eSRS Comply in good faith with subcontract plan requirements Imposition of liquidated damages

Subcontracting Requirements

	Utilization of SBs 52.219-8	Subcontracting Plan 52.219-9
When?	<ul style="list-style-type: none"> Contracts > Simplified Acquisition Threshold (SAT) (\$250K) AND Subcontract opportunities exist 	<ul style="list-style-type: none"> Contracts > \$750K (\$1.5M construction) AND subcontract opportunities exist Modifications > \$750K (\$1.5M construction) with new work AND subcontract opportunities exist Multi-year contracts / contracts with options <ul style="list-style-type: none"> Cumulative value of base contract and all options SEPARATE goals for base and each option
Who?	<ul style="list-style-type: none"> ALL business concerns (including small businesses) 	<p>ALL other than small business:</p> <ul style="list-style-type: none"> Large business State & local government Non-profit organizations Public utilities Educational Institutions Foreign-owned firms AbilityOne (unless identified as a small business) Government entities (labs)
When not required?	<ul style="list-style-type: none"> For personal services contracts For contracts / modifications performed entirely outside US & outlying areas 	<ul style="list-style-type: none"> From small businesses For personal services contracts For contracts / modifications performed entirely outside US & outlying areas For modifications that are within the scope of the contract and the contract does not contain the clause at 52.219-8, Utilization of Small Business concerns If no subcontract opportunities, approval required level above contracting officer (KO)

The Basics of Subcontracting (July 2024)

Types of Subcontracting Plans

Individual	Master	Commercial	DoD Comprehensive
<ul style="list-style-type: none"> • 1 contract – 1 plan • Goals support planned subcontracting for 1 contract • Covers entire contract period (including options) • Contains mandatory elements • KO negotiates goals and challenge any plan that does not contain positive goals 	<ul style="list-style-type: none"> • ACO reviews, evaluates and approves • Includes mandatory elements, excluding goal related elements • KO negotiates goals for each applicable contract under a master plan • Effective for 3 years after approval of KO • May be developed on a Plant / Division basis 	<ul style="list-style-type: none"> • Preferred for contractors furnishing commercial items • Applies to entire production of commercial items sold by either entire company or a portion thereof (Division, plant or product line) • Based on contractor's FY • Annual plan (applies to all Federal contracts in effect during that period) • Contains mandatory elements • Plan should identify the awarded contract subject to the plan (contract with latest completion date) 	<ul style="list-style-type: none"> • DoD Test Program for Negotiation of Comprehensive SB Subcontracting Plans • Similar to a commercial plan <ul style="list-style-type: none"> • May be on a Plant, Division or Corporate basis • Annual plan (applies to DoD contracts in effect during period) • ONLY DCMA is delegated authority to negotiate plan & perform surveillance review functions (with input from MILDEPS / Defense Agencies)

Reporting Requirements

<ul style="list-style-type: none"> • Individual Subcontracting Report (ISR) or SF 294 if applicable, (semiannually) • Summary Subcontracting Report (SSR) annually 	<ul style="list-style-type: none"> • N/A 	<ul style="list-style-type: none"> • SSR (annually) • ISR not required 	<ul style="list-style-type: none"> • SSR (semiannually) • ISR not required
--	---	--	--

Note: Plan must be approved *PRIOR* to contract award

Summary of the 15 Mandatory Elements to be Included in Subcontracting Plans

- Separate goals for each SB category, expressed in terms of dollars to be subcontracted
 - For Individual Subcontracting Plans KO may request additional goals to be expressed in terms of total contract dollars
- Description of principle types of supplies/services to be subcontracted (total & by category)
- Description of method used to develop subcontracting goals
- Description of method used to identify potential SB sources
- Statement of indirect costs (included/not included) and methodology used to determine proportionate share of indirect costs for each category
- Name of individual who will administer the subcontracting program and description of duties they will perform
- Description of efforts to ensure SB has an equitable opportunity to compete for subcontracts
- Description of record-keeping procedures / process
- Assurances that the offeror / contractor will perform specific actions identified in the subcontracting plan
- Flow down clauses and requirements for utilization of small businesses, subcontracting plans and related reports in all subcontracts
 - [52.219-8](#), Utilization of Small Business Concerns; [52.219-9](#), Small Business Subcontracting Plan

The Basics of Subcontracting (July 2024)

Categories Included in Subcontracting Plan Goals

Category	Reference	Certification
Small Business (SB)	<ul style="list-style-type: none"> • FAR 2.101 - definition • FARS 52.219-9(d)(i) – also includes subcontracts awarded to an Alaska Native Corporation (ANC) or Indian tribe, regardless of size or SBA certification status of ANC or Indian tribe • DFARS 252.219-7003 – also includes subcontracts awarded to qualified non-profit agencies approved by Committee for Purchase from People Who Are Blind or Severely Disabled, the independent federal agency that administers AbilityOne Program (41 U.S.C. 8502-8504) 	Self-certify
Woman-owned Small Business (WOSB)	<ul style="list-style-type: none"> • FAR 2.101 - definition 	Self-certify
Historically Underutilized Business Zone (HUBZone)	<ul style="list-style-type: none"> • FAR 2.101 - definition 	SBA certified
Veteran-Owned Small Business (VOSB)	<ul style="list-style-type: none"> • FAR 2.101 - definition 	Self-certify
Service-Disabled Veteran-Owned Small Business (SDVOSB)	<ul style="list-style-type: none"> • FAR 2.101 - definition 	Self-certify
Small Disadvantaged Business (SDB)	<ul style="list-style-type: none"> • FAR 2.101 - definition • FARS 52.219-9(d)(i) - also includes subcontracts awarded to an Alaska Native Corporation (ANC) or Indian tribe regardless of size or SBA certification status of ANC or Indian tribe • DFARS 252.219-7003 – also includes subcontracts awarded by a mentor firm under the DoD Pilot Mentor-Protégé Program, to (1) protégé firms which are qualified organizations employing severely disabled and (2) former protégé firms that meet the criteria in Section 831(g)(4) of P.L 101-510 	Self-certify

Subcontracting Resources

- DoD Subcontracting Plan Checklist and Preparing / Submission of Subcontracting Reports
<http://business.defense.gov/Acquisition/Subcontracting>
- Electronic Subcontracting Reporting System (eSRS)
<http://www.esrs.gov>
- SBA Government Contracts Overview
<https://www.sba.gov/contracting/finding-government-customers/subcontracting>
- SBA SubNet
https://subnet.sba.gov/client/dsp_Landing.cfm
- DAU Community of Practice
<https://www.dau.edu/cop/sb>
- APEX Accelerators (for procurement assistance)
<https://www.apexaccelerators.us/#/>
- System for Award Management
<http://www.sam.gov>

APPENDIX C

DoD Checklist for Reviewing Subcontracting Plans

DoD Checklist for Reviewing Subcontracting Plans (July 2024)

Item	Type of Plans Applicable:	Elements of a Subcontracting Plan	Additional Information	Mark "x" if "yes" any missing Xs require revision
1.	<ul style="list-style-type: none"> • Individual • Commercial 	<p>FAR 52.219-9(d)(1) Does the offeror's subcontracting plan include separate goals, expressed in terms of total dollars subcontracted, and as a percentage of total planned subcontracting dollars, for the use of small business (SB), veteran-owned small business (VOSB), service-disabled veteran-owned small business (SDVOSB), HUBZone small business (HUBZoneSB), small disadvantaged business (SDB), and women-owned small business concerns (WOSB) as subcontractors?</p>		<input type="checkbox"/>
2.	<ul style="list-style-type: none"> • Individual 	<p>FAR 52.219-9(d)(1) If required by the Contracting Officer, does the offeror have goals also expressed in terms of percentage of total contract dollars, in addition to the goals expressed as a percentage of total subcontract dollars?</p>		<input type="checkbox"/>
3.	<ul style="list-style-type: none"> • Individual 	<p>FAR 52.219-9(d)(1) Does the Offeror include all subcontracts that contribute to contract performance? Note, the offeror may include a proportionate share of products and services that are normally allocated as indirect costs.</p>		<input type="checkbox"/>
4.	<ul style="list-style-type: none"> • Individual • Commercial 	<p>FAR 52.219-9(d)(1)(i & ii) Does the offeror count subcontracts awarded to an ANC or Indian tribe towards the subcontracting goals for small business and small disadvantaged business concerns, regardless of the size or Small Business Administration certification status of the ANC or Indian tribe; and Where one or more subcontractors are in the subcontract tier between the prime Contractor and the ANC or Indian tribe, the ANC or Indian tribe has designated the appropriate Contractor(s) to count the subcontract towards its small business and small disadvantaged business subcontracting goals.</p>	<p>FAR 52.219-9(d)(1)(i) Are subcontracts awarded to an ANC or Indian Tribe counted towards the subcontracting goals for small business and small disadvantaged business concerns, regardless of the size or Small Business Administration certification status of the ANC or Indian tribe?</p> <p>FAR 52.219-9(d)(1)(ii) (A) In most cases, the appropriate Contractor is the Contractor that awarded the subcontract to the ANC or Indian tribe. (B) If the ANC or Indian tribe designates more than one Contractor to count the subcontract toward its goals, the ANC or Indian tribe shall designate only a portion of the total subcontract award to each Contractor. The sum of the amounts designated to various Contractors cannot exceed the total value of the subcontract. (C) The ANC or Indian tribe shall give a copy of the written designation to the Contracting Officer, the prime Contractor, and the subcontractors in</p>	<input type="checkbox"/>

DoD Checklist for Reviewing Subcontracting Plans (July 2024)

Item	Type of Plans Applicable:	Elements of a Subcontracting Plan	Additional Information	Mark "x" if "yes" <small>any missing Xs require revision</small>
			<p>between the prime Contractor and the ANC or Indian tribe within 30 days of the date of the subcontract award.</p> <p>(D) If the Contracting Officer does not receive a copy of the ANC's or the Indian tribe's written designation within 30 days of the subcontract award, the Contractor that awarded the subcontract to the ANC or Indian tribe will be considered the designated Contractor.</p>	
5.	<ul style="list-style-type: none"> • Individual • Commercial 	<p>FAR 52.219-9(d)(2)(i) Does the Offeror's plan have a statement of total dollars planned to be subcontracted for an individual subcontracting plan; or the Offeror's total projected sales, expressed in dollars, and the total value of projected subcontracts, including all indirect costs except as described in paragraph (g) of this clause, to support the sales for a commercial plan?</p>		<input type="checkbox"/>
6.	<ul style="list-style-type: none"> • Individual • Commercial 	<p>FAR 52.219-9(d)(2)(ii-vii) Are there statements of total dollars planned to be subcontracted for each small business category? (ii) SBs (including ANC and Indian tribes); (iii) VOSBs; (iv) SDVOSBs; (v) HUBZone SBs; (vi) SDBs (including ANCs and Indian tribes); and (vii) WOSBs.</p>	<p>"Subcontracts awarded to workshops approved by the Committee for Purchase from People Who are Blind or Severely Disabled (41 U.S.C. 8502-8504), may be counted toward the Contractor's small business subcontracting goal." Reference DFARS 252.219-7003</p>	<input type="checkbox"/>

DoD Checklist for Reviewing Subcontracting Plans (July 2024)

Item	Type of Plans Applicable:	Elements of a Subcontracting Plan	Additional Information	Mark "x" if "yes" any missing Xs require revision
7.	<ul style="list-style-type: none"> • Individual • Commercial 	DFARS 219.705-4(d) Are the goals in all the small business categories below greater than zero? SBs (including ANC and Indian tribes); VOSBs; SDVOSBs; HUBZone SBs; SDBs (including ANCs and Indian tribes); and WOSBs	Per DFARS 219.705-4(d) , challenge any subcontracting plan that does not contain positive goals.	<input type="checkbox"/>
8.	<ul style="list-style-type: none"> • Individual • Commercial 	DFARS 219.705-4(d) Is the proposed small disadvantaged business goal equal to or greater than five percent (5%)? If no, the proposed goal of less than five percent (5%) must be approved one level above the contracting officer.	A small disadvantaged business goal of less than 5% must be approved one level above the contracting officer.	<input type="checkbox"/>
9.	<ul style="list-style-type: none"> • Individual • Commercial • Master 	FAR 52.219-9(d)(3)(i-vii) Is there a description of the principal types of supplies and services to be subcontracted for each small business subcontractor category? (ii) SBs (including ANC and Indian tribes); (iii) VOSBs; (iv) SDVOSBs; (v) HUBZone SBs; (vi) SDBs (including ANCs and Indian tribes); and (vii) WOSBs		<input type="checkbox"/>
10.	<ul style="list-style-type: none"> • Individual 	FAR 52.219-9(c) Are there separate subcontracting goals for the base period and each option period (if any)?		<input type="checkbox"/>
11.	<ul style="list-style-type: none"> • Individual • Commercial • Master 	FAR 52.219-9(d)(4) Is there a description of the method used to develop the subcontracting goals?		<input type="checkbox"/>

DoD Checklist for Reviewing Subcontracting Plans (July 2024)

Item	Type of Plans Applicable:	Elements of a Subcontracting Plan	Additional Information	Mark "x" if "yes" <small>any missing Xs require revision</small>
12.	<ul style="list-style-type: none"> • Individual • Commercial • Master 	FAR 52.219-9(d)(5) Is there a method used to identify potential sources for solicitation purposes?	Example - utilizing existing company source lists, SAM, veterans service organizations, the National Minority Purchasing Council Vendor Information Service, the Research and Information Division of the Minority Business Development Agency in the Department of Commerce, or small, HUBZone, small disadvantaged, and WOSB trade associations). A firm may rely on the information contained in SAM as an accurate representation of a concern's size and ownership characteristics for the purposes of maintaining a SB, VOSB, SDVOSB, HUBZone , SDB, and WOSB source list. <u>Use of SAM as its source list does not relieve a firm of its responsibilities (e.g., outreach, assistance, counseling, or publicizing subcontracting opportunities) in this clause.</u>	<input type="checkbox"/>
13.	<ul style="list-style-type: none"> • Individual • Commercial • Master 	FAR 52.219-9(d)(6) Is there a statement as to whether indirect costs were included in establishing subcontracting goals?	For commercial plans, indirect costs must be included (with the exception of the items listed in FAR 52.219-9(g)), and the rate is 100%.	<input type="checkbox"/>
14.	<ul style="list-style-type: none"> • Individual • Commercial • Master 	FAR 52.219-9(d)(6) Is there a description of the method used to determine the proportionate share of indirect costs to be incurred with each small business category: SBs (including ANC and Indian tribes); VOSBs; SDVOSBs; HUBZone SBs; SDBs (including ANCs and Indian tribes); and WOSBs	Skip this question if indirect costs are not included. For Commercial plans, indirect costs must be included (with the exception of the items listed in FAR 52.219-9(g)), and the rate is 100%	<input type="checkbox"/>
15.	<ul style="list-style-type: none"> • Individual • Commercial • Master 	FAR 52.219-9(d)(7) Is there an individual named who will administer the offeror's subcontracting program?		<input type="checkbox"/>

DoD Checklist for Reviewing Subcontracting Plans (July 2024)

Item	Type of Plans Applicable:	Elements of a Subcontracting Plan	Additional Information	Mark "x" if "yes" any missing Xs require revision
16.	<ul style="list-style-type: none"> • Individual • Commercial • Master 	<p>FAR 52.219-9(d)(7)</p> <p>Is there a description of duties of the individual who will administer the offeror's subcontracting program?</p>		<input type="checkbox"/>
17.	<ul style="list-style-type: none"> • Individual • Commercial • Master 	<p>FAR 52.219-9(d)(8)</p> <p>Is there a description of the efforts the offeror will make to ensure that all small business categories of concerns (see list below) have an equitable opportunity to compete for subcontracts? SBs; VOSBs; SDVOSBs; HUBZone SBs; SDBs; and WOSBs</p>		<input type="checkbox"/>
18.	<ul style="list-style-type: none"> • Individual • Commercial • Master 	<p>FAR 52.219-9(d)(9)</p> <p>Are there assurances that the offeror will include FAR clause 52.219-8, "Utilization of Small Business Concerns" in all subcontracts that offer further subcontracting opportunities?</p>		<input type="checkbox"/>
19.	<ul style="list-style-type: none"> • Individual • Commercial • Master 	<p>FAR 52.219-9(d)(9)</p> <p>Are there assurances that the offeror will require all subcontractors (except small business concerns) that receive subcontracts in excess of the applicable threshold in 19.702(a) on the date of the subcontract award, with further subcontracting possibilities to adopt a plan that complies with the requirements of FAR clause 52.219-9, Small Business Subcontracting Plan?</p>	<p>The current threshold of FAR 19.702(a) is \$750,000 (\$1.5 Million for construction).</p> <p>FAR 52.219-9(j) Subcontracting plans are not required from subcontractors when the prime contract contains the clause at FAR 52.212-5, or when the subcontractor provides a commercial product or commercial service subject to the clause at FAR 52.244-6, under a prime contract..</p>	<input type="checkbox"/>

DoD Checklist for Reviewing Subcontracting Plans (July 2024)

Item	Type of Plans Applicable:	Elements of a Subcontracting Plan	Additional Information	Mark "x" if "yes" any missing Xs require revision
20.	<ul style="list-style-type: none"> • Individual • Commercial • Master 	FAR 52.219-9(d)(10)(i) Are there assurances that the offeror will cooperate in any studies or surveys as may be required?		<input type="checkbox"/>
21.	<ul style="list-style-type: none"> • Individual • Commercial • Master 	FAR 52.219-9(d)(10)(ii) Are there assurances that the offeror will submit periodic reports so that the Government can determine the extent of compliance by the Offeror with the subcontracting plan?		
22.	<ul style="list-style-type: none"> • Individual • Master 	FAR 52.219-9(d)(10)(iii) Are there assurances that the offeror will include subcontracting data for each order when reporting subcontracting achievements for indefinite-delivery, indefinite-quantity contracts intended for use by multiple agencies?	Applicable after November 30, 2017, and only to indefinite-delivery indefinite- quantity contracts intended for use by multiple agencies. "Agencies" means Federal agencies.	<input type="checkbox"/>
23.	<ul style="list-style-type: none"> • Individual • Commercial • Master 	FAR 52.219-9(d)(10)(iv) Are there assurances that the offeror will: submit the Individual Subcontract Report (ISR) and/or the Summary Subcontract Report (SSR), in accordance with paragraph (l) of this clause using the Electronic Subcontracting Reporting System (eSRS) at http://www.esrs.gov ; reports will provide information on subcontract awards to small business concerns (including ANCs and Indian tribes that are not small businesses), VOSBs, SDVOSBs, HUBZoneSBs, SDBs (including ANCs and Indian tribes that have not been certified by the Small Business Administration as small disadvantaged businesses), and WOSBs; and that reports will be in accordance with this clause, or as provided in agency regulations?	<ul style="list-style-type: none"> • The ISR is related only to the individual subcontract plan. • The SSR is related to the individual & commercial subcontract plan. • Alternate III instructs the contractor to submit the SF 294, in lieu of submitting the ISR in eSRS, for contracts not reported in FPDS. 	<input type="checkbox"/>

DoD Checklist for Reviewing Subcontracting Plans (July 2024)

Item	Type of Plans Applicable:	Elements of a Subcontracting Plan	Additional Information	Mark "x" if "yes" any missing Xs require revision
24.	<ul style="list-style-type: none"> • Individual • Commercial • Master 	FAR 52.219-9(d)(10)(v) Are there assurances that the offeror will require its subcontractors with subcontracting plans agree to submit the ISR and/or the SSR using eSRS?	FAR 52.219-9(j) Subcontracting plans are not required from subcontractors when the prime contract contains the clause at FAR 52.212-5 , or when the subcontractor provides a commercial product or commercial service subject to the clause at FAR 52.244-6 , under a prime contract.	<input type="checkbox"/>
25.	<ul style="list-style-type: none"> • Individual • Commercial • Master 	FAR 52.219-9(d)(10)(vi) Are there assurances that the offeror will provide its prime contract number, its unique entity identifier (UEI), and the e-mail address of the offeror's official responsible for acknowledging receipt of or rejecting the ISRs to all first-tier subcontractors with subcontracting plans so they can enter this information into the eSRS when submitting their ISRs?	FAR 52.219-9(j) Subcontracting plans are not required from subcontractors when the prime contract contains the clause at FAR 52.212-5, or when the subcontractor provides a commercial product or commercial service subject to the clause at FAR 52.244-6, under a prime contract.	<input type="checkbox"/>
26.	<ul style="list-style-type: none"> • Individual • Commercial • Master 	FAR 52.219-9(d)(10)(vii) Are there assurances that the offeror will require that each subcontractor with a subcontracting plan provide the prime contract number, its unique entity identifier (UEI), and the e-mail address of the subcontractor's official responsible for acknowledging receipt of or rejecting the ISRs, to its subcontractors with subcontracting plans?	FAR 52.219-9(j) Subcontracting plans are not required from subcontractors when the prime contract contains the clause at FAR 52.212-5, or when the subcontractor provides a commercial product or commercial service subject to the clause at FAR 52.244-6, under a prime contract.	<input type="checkbox"/>
27.	<ul style="list-style-type: none"> • Individual • Commercial • Master 	FAR 52.219-9(d)(11) Does the offeror's plan contain a description of the types of records that will be maintained concerning procedures that have been adopted to comply with the requirements and goals in the plan, including establishing source lists; and a description of the offeror's efforts to locate SBs; VOSBs; SDVOSBs; HUBZone SBs; SDBs; and WOSBs and award subcontracts to them?		<input type="checkbox"/>

DoD Checklist for Reviewing Subcontracting Plans (July 2024)

Item	Type of Plans Applicable:	Elements of a Subcontracting Plan	Additional Information	Mark "x" if "yes" <small>any missing Xs require revision</small>
28.	<ul style="list-style-type: none"> • Individual • Commercial • Master 	FAR 52.219-9(d)(11)(i) Are there assurances that the offeror will maintain source lists (e.g., SAM), guides, and other data that identify? SBs; VOSBs; SDVOSBs; HUBZone SBs; SDBs; and WOSBs		<input type="checkbox"/>
29.	<ul style="list-style-type: none"> • Individual • Commercial • Master 	FAR 52.219-9(d)(11)(ii) Are there assurances that the offeror will maintain records of organizations contacted in an attempt to locate sources that are SBs; VOSBs; SDVOSBs; HUBZone SBs; SDBs; and WOSBs?		<input type="checkbox"/>
30.	<ul style="list-style-type: none"> • Individual • Commercial • Master 	FAR 52.219-9(d)(11)(iii)(A)-(F). Are there assurances that the offeror will maintain records on each subcontract solicitation resulting in an award of more than the simplified acquisition threshold (SAT) on date of subcontract award whether each small business category listed below was solicited and, if not, why not? SBs; VOSBs; SDVOSBs; HUBZone SBs; SDBs; and WOSBs		<input type="checkbox"/>

DoD Checklist for Reviewing Subcontracting Plans (July 2024)

Item	Type of Plans Applicable:	Elements of a Subcontracting Plan	Additional Information	Mark "x" if "yes" <small>any missing Xs require revision</small>
31.	<ul style="list-style-type: none"> • Individual • Commercial • Master 	<p>FAR 52.219-9(d)(11)(iii)(G).</p> <p>Are there assurances that the offeror will maintain records on each subcontract solicitation resulting in an award of more than the simplified acquisition threshold (SAT) on date of subcontract award indicating, if applicable, the reason award was not made to a small business concern?</p>		
32.	<ul style="list-style-type: none"> • Individual • Commercial • Master 	<p>FAR 52.219-9(d)(11)(iv)(A-D)</p> <p>Are there assurances that the offeror will maintain records of any outreach efforts to contact:</p> <p>(A) Trade associations; (B) Business development organizations; (C) Conferences and trade fairs to locate SB, HUBZone SB, SDB, SDVOSB, and WOSB sources; and (D) Veterans service organizations?</p>	<p>If all of the assurances are not met for FAR 52.219-9(d)(iv)(A-D) then the plan is not acceptable.</p>	<input type="checkbox"/>
33.	<ul style="list-style-type: none"> • Individual • Commercial • Master 	<p>FAR 52.219-9(d)(11)(v)(A & B)</p> <p>Are there assurances that the offer will maintain records of internal guidance and encouragement provided to buyers through-</p> <p>(A) Workshops, seminars, training, etc.; and (B) Monitoring performance to evaluate compliance with the program's requirements?</p>	<p>If all of the assurances are not met for FAR 52.219-9(d)(v)(A-B) then the plan is not acceptable.</p>	<input type="checkbox"/>
34.	<ul style="list-style-type: none"> • Individual • Master 	<p>FAR 52.219-9(d)(11)(vi)</p> <p>Are there assurances that the offeror will maintain on a contract-by- contract basis, records to support award data submitted by the offeror to the Government, including the name, address, and business size of each subcontractor?</p>	<p>This requirement is not applicable to commercial plans.</p>	<input type="checkbox"/>

DoD Checklist for Reviewing Subcontracting Plans (July 2024)

Item	Type of Plans Applicable:	Elements of a Subcontracting Plan	Additional Information	Mark "x" if "yes" <small>any missing Xs require revision</small>
35.	<ul style="list-style-type: none"> • Individual • Commercial • Master 	<p>FAR 52.219-9(d)(12)</p> <p>Are there assurances that the offeror will make a good faith effort to acquire articles, equipment, supplies, services, or material, or obtain the performance of construction work from the small business concerns that it used in preparing the bid or proposal, in the same or greater scope, amount, and quality used in preparing the bid or proposal?</p>	<p>The Offeror used a small business concern in preparing the bid or proposal if–</p> <p>(i) The Offeror identifies the small business concern as a subcontractor in the bid or proposal or associated small business subcontracting plan, to furnish certain supplies or perform a portion of the subcontract; or</p> <p>(ii) The Offeror used the small business concern's pricing or cost information or technical expertise in preparing the bid or proposal, where there is written evidence of an intent or understanding that the small business concern will be awarded a subcontract for the related work if the Offeror is awarded the contract.</p>	<input type="checkbox"/>
36.	<ul style="list-style-type: none"> • Individual • Commercial • Master 	<p>FAR 52.219-9(d)(13)</p> <p>Are there assurances that the contractor will provide to the contracting officer, within 30 days of contract completion, a written explanation if the contractor fails to acquire articles, equipment, supplies, services or materials or obtain the performance of construction work as described in the bid or proposal in FAR 52.219-9(d)(12) above?</p>		<input type="checkbox"/>
37.	<ul style="list-style-type: none"> • Individual • Commercial • Master 	<p>FAR 52.219-9(d)(14)</p> <p>Are there assurances that the contractor will not prohibit a subcontractor from discussing with the contracting officer any material matter pertaining to payment to or utilization of a subcontractor?</p>		<input type="checkbox"/>

DoD Checklist for Reviewing Subcontracting Plans (July 2024)

Item	Type of Plans Applicable:	Elements of a Subcontracting Plan	Additional Information	Mark "x" if "yes" <small>any missing Xs require revision</small>
38.	<ul style="list-style-type: none"> • Individual • Commercial • Master 	<p>FAR 52.219-9(d)(15) Are there assurances that the offeror will pay its small business subcontractors on time and in accordance with the terms and conditions of the underlying subcontract, and notify the contracting officer when the prime contractor makes either a reduced or an untimely payment to a small business subcontractor (see 52.242-5)?</p>	<p>FAR 52.242-5 Reduced payment means a payment that is for less than the amount agreed upon in a subcontract in accordance with its terms and conditions, for supplies and services for which the Government has paid the prime contractor. Untimely payment means a payment that is more than 90 days past due under the terms and conditions of a subcontract, for supplies and services for which the Government has paid the prime contractor. (2) The Contractor- (i) Made a reduced or untimely payment to the small business subcontractor; or (ii) Failed to make a payment, which is now untimely.</p>	<input type="checkbox"/>

DoD Checklist for Reviewing Subcontracting Plans (July 2024)

Item	Type of Plans Applicable:	Elements of a Subcontracting Plan	Additional Information	Mark "x" if "yes" <small>any missing Xs require revision</small>
39.	• Master	FAR 52.219-9(f)(2) and DFARS 219.706 Is the master plan up to date?	(1) The master subcontracting plan has been approved; (2) The Offeror ensures that the master subcontracting plan is updated as necessary and provides copies of the approved master subcontracting plan, including evidence of its approval, to the Contracting Officer; and (3) Goals and any deviations from the master subcontracting plan deemed necessary by the Contracting Officer to satisfy the requirements of this contract are set forth in the individual subcontracting plan.	<input type="checkbox"/>
40.	• Master	Does the master plan list the CAGE codes and related UEI#s of the contracts covered under the plan?		
41.	• Master	Does the plan have the three-year effective dates of plan from approval/signature of Administrative Contracting Officer (ACO)?		
42.	• Commercial	FAR 52.219-9(g) Does the commercial plan relate to the contractor's planned subcontracting for both commercial and Government business, rather than solely to the Government contract?		<input type="checkbox"/>
43.	• Commercial	Does the proposed commercial plan have a signature page which includes: a. name, title, email and company name for the person submitting the plan; b. name, title and department/agency contracting organization, date, signature and email of the person approving the plan?	AND does the plan have the following: • State the dates of the one-year period the plan is based on? • State the basis the plan is established for (Company-wide, Division or Divisions (listed by CAGE and UEI), or product line(s)?	<input type="checkbox"/>

DoD Checklist for Reviewing Subcontracting Plans (July 2024)

Item	Type of Plans Applicable:	Elements of a Subcontracting Plan	Additional Information	Mark "x" if "yes" <i>any missing Xs require revision</i>
44.	<ul style="list-style-type: none"> • Commercial 	Does the commercial plan list the CAGE codes and related UEI#s of the commercial products or services covered by the plan?		<input type="checkbox"/>

APPENDIX D

DoD Subcontracting Program - Guide to Preparing and Reviewing an Individual Subcontract Report (ISR) for an Individual Subcontracting Plan

DoD SUBCONTRACTING PROGRAM

Guide to Preparing and Reviewing an Individual Subcontract Report (ISR) for and Individual Subcontracting Plan (July 2024)

Regulatory Requirements

Authority:	<ul style="list-style-type: none"> Section 8(d) Small Business Act - 15 USC 637(d) 	<ul style="list-style-type: none"> Specifies that small businesses will have maximum practicable opportunity to participate in contract performance consistent with efficient performance Requires the Agency to collect data on the extent to which the contractor meets the goals of the subcontracting plan
	<ul style="list-style-type: none"> Section 15(g) Small Business Act - 15 USC 644(g) 	<ul style="list-style-type: none"> Specifies government-wide goals for contracts and subcontracts awarded to small business concerns Includes reporting on subcontracting
Regulations:	<p>FAR 19.7 / DFARS 219.7</p> <p>FAR 52.219-9, Small Business Subcontracting Plan, and Alternate III</p> <p>DFARS 252.219-7003, Small Business Subcontracting Plan</p> <p>DFARS 252.219-7004, Small Business Subcontracting Plan (Test Program)</p>	<ul style="list-style-type: none"> Subcontracting plan requirement Upper-tier contractor flows down requirements for subcontracting plan Comply in good faith with subcontracting plan requirements Prime contractors and subcontractors submit ISRs independently in Federal Electronic Subcontracting Reporting System (eSRS) Prime contractors and subcontractors submit SF 294s in lieu of ISRs for contracts not reported in FPDS and for orders under BOAs & BPAs Authority to acknowledge receipt and approve / reject the report for DoD resides with the Procuring Contracting Officer (PCO) who awarded the contract

Individual Subcontract Report (ISR) or Standard Form (SF) 294, when Required

Submit when?	<ul style="list-style-type: none"> Submit semiannually during contract performance Due 30 days after the end of each reporting period (Mar 31 and Sep 30) by Apr 30 and Oct 30 respectively Final ISR/SF 294 is required within 30 days of contractor's completion of subcontract obligations
Submit where?	<ul style="list-style-type: none"> ISR -- in eSRS at https://www.esrs.gov/ SF 294 -- to PCO
Includes what?	<ul style="list-style-type: none"> Dollars subcontracted from the inception of the contract to the report date (Mar 31/Sep 30) May show negative dollars
Correct how?	<ul style="list-style-type: none"> Contractor may make changes or corrections to previously submitted reports when reviewer either "Reopens" or "Rejects" the report
Who reviews? When?	<ul style="list-style-type: none"> PCO is responsible for "acknowledging receipt" or "rejecting" the ISR submitted by the prime contractor, even if the contract administration has been delegated to another organization, such as DCMA Upper-tier contractor is responsible for reviewing their next lower-tier subcontractors' ISRs/SF 294s By Jun 15 and Dec 15 of each year
Not required when?	<ul style="list-style-type: none"> Not required from contractors with commercial subcontracting plans or DoD comprehensive subcontracting plan

DoD SUBCONTRACTING PROGRAM

Guide to Preparing and Reviewing an Individual Subcontract Report (ISR) for and Individual Subcontracting Plan (July 2024)

Item	Section	Preparing ISR	Reviewing ISR
A	UEI	Provided by FPDS	If incorrect: <ul style="list-style-type: none"> Check the CAR in FPDS. Use agency process to correct in FPDS.
B	Verify Data	Contractor verifies information by selecting "yes." If "no" is selected, the contractor should contact the contracting officer to correct the problem.	Follow up with contractor if "no" is selected.
C	Corporation, Company or Subdivision Covered	Provided by FPDS.	NOTE: The contractor cannot change this information. If incorrect: <ul style="list-style-type: none"> Check the CAR in FPDS. Use agency process to correct FPDS.
D	Date Signed	Provided by eSRS.	No Action Required.
E	Contract Information	Provided by FPDS if available: <ul style="list-style-type: none"> Agency Awarding Contract Contracting Office Agency ID Contracting Office Agency Name Contracting Office ID Contracting Office Name Funding Agency ID Funding Agency Name Funding Office ID Funding Office Name Prime Contract Number Product or Service Code Dollars Obligated Current Contract Value Ultimate Contract Value 	NOTE: The contractor cannot change this information. If incorrect: <ul style="list-style-type: none"> Check the CAR in FPDS. Use agency process to correct FPDS. NOTE: The ISR is associated with a contracting office, not with the specific contracting officer who awarded the contract. As a result, a contracting officer registered at the contracting office level will see all of the submitted ISRs for that office. However, a contracting officer is only responsible for the ISRs for contracts that they awarded.
F	Is your contract administered by an office other than the Contracting Office that awarded the contracts?	Contractor completes: <ul style="list-style-type: none"> If "yes," then contractor must select "DCMA," "ONR (Office of Naval Research)" or "Other Administering Office." If "DCMA" is selected, breakout menu includes Dept of Defense (9700)/DCMA (9763)/CSP Team CSP Division. If "ONR" is selected, breakout menu includes Dept of Defense (9700)/Dept of Navy (1700)/ONR. If "Other Administering Office" is selected, breakout menus include all of the DoD departments, agencies and contracting organizations under each. 	Do NOT reject the report based on this information. NOTE: For DoD, when contractor selects "Yes" and identifies administering contracting office, this may impact the ability of the procuring contracting official to see and/or take action on the ISR. This is presently a system issue of which GSA, the agency overseeing eSRS, is aware and working with DoD to resolve. It is recommended that the contractor select "No" since the administering contracting office is not responsible for taking action on the report.
G	Contact Information	Provided by eSRS, based on registration information; contractor inputs telephone number and email address.	No action required by reviewer.
H	Reporting Period from Inception of Contract Thru	Contractor selects "Mar 31" or "Sep 30" and the "year" from drop-down menu.	If incorrect: <p>REJECT ISR and provide explanation so that contractor knows what to correct.</p>

DoD SUBCONTRACTING PROGRAM

Guide to Preparing and Reviewing an Individual Subcontract Report (ISR) for and Individual Subcontracting Plan (July 2024)

Item	Section	Preparing ISR	Reviewing ISR
I	Type of Report	Contractor selects “Regular” or “Final.”	If incorrect: REJECT ISR and provide explanation so that contractor knows what to correct.
J	Agency Awarding Contract	Provided by FPDS; contractor CANNOT select.	Always Item #4 in the second section of the ISR. NOTE: eSRS uses this data element to determine “Target Agency”, which may not be the procuring/awarding contracting agency/organization. eSRS uses “Target Agency” to determine where to “place” the ISR. This is often an issue for DoD when the administering contracting agency / organization issues last modification prior to contractor preparing the ISR. As a result, the procuring/awarding contracting official may not be able to see and/or take action on the ISR, because the contracting official is not registered at the other organization. In this case, the contracting official must contact the department/agency eSRS Agency Coordinator or the Subcontracting Program Manager for assistance. The eSRS Agency Coordinator may need to create an additional role at a different level for the contracting official. This is presently a system issue of which GSA, the agency overseeing eSRS. GSA is aware and working with DoD to resolve
K	Report Submitted As	Contractor selects “Prime Contractor” or “Subcontractor.”	NOTE: Government reviews ISRs from prime contractor only. The upper-tier contractor reviews next lower-tier subcontractors’ ISRs.
L	Subcontract Awards	Contractor indicates if Indirect Subcontracting Dollars are or are not included. Current Goal (Whole Dollars and Percentage of total Subcontract Awards) Contractor inputs based on approved subcontracting plan. Goals are not required for large business, HBCU/MI, ANC or Indian Tribes. System does not calculate percentages; system only validates that the dollars for any of the socioeconomic categories cannot be greater than the dollars for small business included in Line Item 2(a).	If not the same as in the subcontracting plan: REJECT ISR and provide explanation so that contractor knows what to correct. If not the same as in the subcontracting plan: REJECT ISR and provide explanation so that contractor knows what to correct. NOTE: Per FAR 19.705-1(b)(2) for IDIQ contracts utilized by multiple agencies the contracting officer may also establish subcontracting goals for order. What is the process in eSRS which allows the contractor to include task order goals on the ISR for the IDIQ contract? Presently, eSRS allows the contractor to show goals in the ISR for the IDIQ contract. How does the contractor show separate goals for multiple task orders under the IDIQ contract?

DoD SUBCONTRACTING PROGRAM

Guide to Preparing and Reviewing an Individual Subcontract Report (ISR) for and Individual Subcontracting Plan (July 2024)

Item	Section	Preparing ISR	Reviewing ISR
M	Which method do you use to collect subcontracting data for this report?	Contractor selects one of three options: “award,” “invoiced,” or “other” (“other” is a text field).	The contractor should be consistent with whichever option is selected; IF NOT, WHY NOT? Discuss with contractor to determine whether to accept or reject the ISR.
N	Certification	Contractor certifies that the data being submitted on the report is accurate and that the dollars and percentages reported do not include lower-tier subcontracts (except as set forth for ANC and Indian Tribes).	If contractor does not certify: REJECT ISR and provide explanation so that contractor knows what to correct.
O	Remarks	Contractor provides explanation for zero in any small business category or failure to meet the dollar or percentage goals in the individual subcontracting plan. The contractor should explain why and provide a plan of action or comments that would be helpful to the person reviewing the report. NOTE: There are no goals for large businesses, HBCU/MI, ANC or Indian Tribe businesses.	If no comment/explanation or plan of action is provided: REJECT ISR and provide explanation so contractor knows what to correct. NOTE: The contractor’s explanation and mitigation plan should demonstrate contractor’s good faith effort in meeting the subcontracting goals. The contractor’s effort or lack of effort should be assessed annually in Contractor Performance Assessment Reporting System (CPARS).
P	Contractor’s Subcontracting Plan Administrator	Contractor provides name, title and phone number of the company official who administers the contractor’s Small Business Subcontracting Program.	This person is an employee of the contractor and not a Government person. If contractor does not provide correct person: REJECT ISR and provide explanation so that contractor knows what to correct.
Q	Email address of Government Employee(s) and/or Other Person(s) to be Notified That You Have Submitted this Report	Contractor provides e-mail address of Government employee(s) and/or other person(s) to be notified about the ISR submission. eSRS will send a notification to listed parties advising that an ISR was submitted.	No action required by reviewer. NOTE: Reports should not be rejected because a contracting official’s email address is not included in the report. This does not mean that those notified have the responsibility to review the report.
Other Issues			
<p>1. Next-tier subcontractors’ ISRs</p> <ol style="list-style-type: none"> a. Subcontractor must have correct email address of upper-tier contractor’s POC who is responsible for reviewing the ISR b. If email address is incorrect, ISR will remain in “pending” status in eSRS, as upper-tier contractor is not aware of report c. Only government reviewer can see these ISRs in eSRS d. Reviewer should assist upper-tier contractor by providing e-mail address to which next-tier contractor submitted ISR e. Federal Services Desk for eSRS (https://www.fsd.gov/) can assist upper-tier contractors with access to reports which were inadvertently sent to someone not responsible for reviewing the reports 			

DoD SUBCONTRACTING PROGRAM

Guide to Preparing and Reviewing an Individual Subcontract Report (ISR) for and Individual Subcontracting Plan (July 2024)

Item	Section	Preparing ISR	Reviewing ISR
2.	Reviewing ISRs		
	a.	Accomplishing the “acknowledge receipt” function is not considered acceptance of the reported information	
	b.	If reviewer “acknowledges receipt” of the report and later determines the report is incorrect, the reviewer may then “Reopen” or “Reject” the report	
	c.	Reviewer must provide an explanation for the reopened or rejected report so that the contractor knows what to correct	
3.			
		For all reports submitted after the Apr and Oct reporting period end dates, the “acknowledge receipt/reject” functions should be completed within 30 days of a contractor’s submission	

NOTE: Passwords for all registrations in eSRS must be changed every 90 days. If reset is required, contact the Federal Service Desk for assistance at: https://www.fsd.gov/gsafsd_sp

APPENDIX E

DoD Subcontracting Program - Guide to Preparing and Reviewing a Summary Subcontract Report (SSR) for an Individual Subcontracting Plan

DoD SUBCONTRACTING PROGRAM

Guide to Preparing and Reviewing a Summary Subcontract Report (SSR) for an Individual Subcontracting Plan (July 2024)

Regulatory Requirements		
Authority:	<ul style="list-style-type: none"> Section 8(d) Small Business Act – 15 USC 637(d) 	<ul style="list-style-type: none"> Specifies that small businesses will have maximum practicable opportunity to participate in contract performance consistent with efficient performance Requires the agency to collect data on the extent to which the contractor meets the goals of the subcontracting plan
	<ul style="list-style-type: none"> Section 15(g) Small Business Act – 15 USC 644(g) 	<ul style="list-style-type: none"> Specifies government-wide goals for contracts and subcontracts awarded to small business concerns Includes reporting on subcontracting
Regulations:	<ul style="list-style-type: none"> FAR 19.7 / DFARS 219.7 FAR 52.219-9, Small Business Subcontracting Plan DFARS 219.706 Class Deviation 2018-00007 dated 12/13/2017 DFARS 252.219-7003, Small Business Subcontracting Plan DFARS 252.219-7004, Small Business Subcontracting Plan (Test Program) 	<ul style="list-style-type: none"> Subcontracting plan requirement Upper-tier contractor flows down requirements for subcontracting plan Comply in good faith with subcontracting plan requirements Prime contractors and subcontractors submit SSRs in Federal Electronic Subcontracting Reporting System (eSRS) Authority to acknowledge receipt and approve / reject the report for DoD resides with DoD SSR Coordinator
Summary Subcontract Report (SSR) for an Individual Subcontracting Plan		
Submit when?	<ul style="list-style-type: none"> Annually, submit one consolidated SSR within 30 days of the government's fiscal year end (Sep 30), by Oct 30 	
Submit where?	<ul style="list-style-type: none"> In eSRS at https://www.esrs.gov/ Contractor must select "Dept of Defense (9700)" in block 7 of the SSR 	
Includes what?	<ul style="list-style-type: none"> SSR may be submitted on a corporate, company or division (e.g., plant or segment operating as a separate profit center) basis, unless otherwise directed by the agency May include multiple Unique Entity Identification (UEI) numbers under the overall corporate or "parent" UEI Encompasses ALL subcontracting under prime contracts and subcontracts with the DoD, regardless of dollar value, including contracts with no subcontracting plan requirement AND classified contracts Cannot show negative dollars 	
Correct how?	<ul style="list-style-type: none"> Contractor may make changes or corrections to previously submitted reports when reviewer either "Reopens" or "Rejects" the report 	
Who reviews? When?	<ul style="list-style-type: none"> DoD Subcontracting Team at OSBP, in coordination with DCMA and DPC By Dec 15 each year 	
Review how?	<ul style="list-style-type: none"> Person responsible for reviewing the report should ensure the report is complete and correct; includes a reasonable explanation for zero dollars and a plan for mitigating during next reporting cycle 	

NOTE: Passwords for all registrations in eSRS must be changed every 90 days. If reset is required, contact the Federal Service Desk for assistance at: https://www.fsd.gov/gsafsd_sp

July 2024 | VERSION 4 | DFARS PGI 219.7

<https://business.defense.gov/Acquisition/Subcontracting/DoD-CSP/Subcontracting-Guide/>

**Guide to Preparing and Reviewing an SSR for an Individual Subcontracting Plan
(July 2024)**

Item	Section	Preparing SSR	Reviewing SSR
A	Type of Plan	Contractor selects “Individual Plan” as the type of subcontracting plan that requires him to submit this SSR.	No action required by reviewer, unless contractor selected incorrect type subcontracting plan. REJECT the SSR if contractor did not select correct type plan and provide explanation so contractor knows what to do.
B	UEI number	Contractor inputs UEI number, which auto fills contractor’s physical and mailing addresses.	No action required by reviewer. NOTE: This UEI number may not match UEI number on contracts. Contractor may choose to provide “parent”, “corporate”, division UEI number.
C	Date Submitted	Contractor selects date from calendar.	No action required by reviewer.
D	Contact Information	Populated by eSRS based on contractor’s registration information.	No action required by reviewer.
E	Reporting Period	Contractor selects “Oct 1- Sep 30” and the “year”; eSRS also allows contractor to select “Oct 1 – Mar 31.”	For DoD, contractor should select twelve-month period (Oct 1 – Sep 30), NOT the six-month period (Oct 1 – Mar 31). If incorrect: REJECT SSR and provide explanation so contractor knows what to do.
F	Agency to Which the Report is Being Submitted	Contractor selects Dept of Defense (9700) from the top of the second “breakout” menu.	If contractor did not select Dept of Defense (9700): REJECT SSR; provide explanation so that contractor knows what to do. NOTE: Contractors submit a consolidated SSR to Dept of Defense (9700); per Class Deviation 2018-O0007, dated 12/13/2017
G	Report Submitted As	Contractor selects: “Prime Contractor,” “Subcontractor,” or “Both.”	No action required by reviewer.
H	Contractor’s Major Products or Service Lines	Contractor provides at least one major product/service and associated NAICS code.	No action required by reviewer.
I	Cumulative Fiscal Year Subcontract Awards	Contractor inputs whole dollars and the system then calculates percentages based on total dollars entered in Line Item 1(c) and validates that the dollars for any of the socioeconomic categories cannot be greater than the dollars for small business in Line Item 1(a).	If SSR contains negative numbers: REJECT SSR; provide explanation so that contractor knows what to do. NOTE: Contractor should NOT input a negative number on the SSR.

NOTE: Passwords for all registrations in eSRS must be changed every 90 days. If reset is required, contact the Federal Service Desk for assistance at: https://www.fsd.gov/gsafsd_sp

Guide to Preparing and Reviewing an SSR for an Individual Subcontracting Plan (July 2024)

Item	Section	Preparing SSR	Reviewing SSR
J	Remarks	Contractor provides explanation for zero dollars in any small business category. The contractor should explain why and provide a plan of action or comments that would be helpful to the person reviewing the report. NOTE: There are no goals for large businesses, HBCU/MI, ANC or Indian Tribe businesses.	If no comment/explanation or plan of action is provided for categories where contractor entered zero: REJECT SSR and provide explanation so contractor knows what to do. NOTE: The contractor's explanation and mitigation plan should demonstrate its good faith effort in meeting the subcontracting goals. The contractor's effort or lack of effort should be assessed annually in CPARS.
K	Contractor's Official Who Administers Subcontracting Program	Contractor provides name, title and phone number of the company official who administers the contractor's Small Business Subcontracting Program.	This person is an employee of the contractor and not a Government person. If contractor does not provide correct person: REJECT SSR and provide explanation so that contractor knows what to do.
L	Certification	Contractor certifies that the data being submitted on the report is accurate and that the dollars and percentages reported do not include lower-tier subcontracts, except as set forth for ANC and Indian Tribes.	If contractor does not certify: REJECT SSR and provide explanation so contractor knows what to do.
M	Chief Executive Officer (CEO) and date certified	Contractor provides the full name and title of the CEO or the most senior executive for the company submitting this report; no delegation of authority is accepted. Contractor selects date from pull-down calendar.	No action required by reviewer, unless Reviewer has information indicating that the information provided is inaccurate.
N	CEO Approval	Contractor indicates whether or not the individual who is listed as the CEO on the report will sign a paper print-out of this report and keep it on file.	If no one certifies: REJECT SSR and provide explanation so contractor knows what to do.
O	Email address of Government Employee(s) and/or Other Person(s) to be Notified that You Have Submitted this Report	Contractor provides e-mail address of Government employee(s) and/or other person(s) to be notified about the SSR submission. eSRS will send a notification to listed parties advising that an SSR was submitted.	No action required by reviewer. NOTE: Reports should not be rejected because a contracting official's email address is not included in the report. This does not mean that those notified have the responsibility to review the report.
Other Issues			
<p>1. Reviewing SSRs</p> <ul style="list-style-type: none"> a. Accomplishing the "acknowledge receipt" function is not considered acceptance of the reported information b. If reviewer "acknowledges receipt" of the report and later determines the report is incorrect, the reviewer may then "Reopen" or "Reject" the report. c. Reviewer must provide an explanation for the reopened or rejected report so that the contractor knows what to correct 			
<p>2. For all reports submitted after the Apr and Oct reporting period end dates, the "acknowledge receipt/reject" functions should be completed within 30 days of a contractor's submission</p>			

NOTE: Passwords for all registrations in eSRS must be changed every 90 days. If reset is required, contact the Federal Service Desk for assistance at: https://www.fsd.gov/gsafsd_sp

APPENDIX F

DoD Subcontracting Program – Guide for Preparing and Reviewing a Summary Subcontract Report (SSR) for a Commercial Subcontracting Plan

DoD SUBCONTRACTING PROGRAM

Guide to Preparing and Reviewing a Summary Subcontracting Report (SSR) for a Commercial Subcontract Plan (July 2024)

Regulatory Requirements

Authority:	<ul style="list-style-type: none"> Section 8(d) Small Business Act – 15 USC 637(d) 	<ul style="list-style-type: none"> Specifies that small businesses will have maximum practicable opportunity to participate in contract performance consistent with efficient performance Requires the agency to collect data on the extent to which the contractor meets the goals of the subcontracting plan
	<ul style="list-style-type: none"> Section 15(g) Small Business Act – 15 USC 644(g) 	<ul style="list-style-type: none"> Specifies government-wide goals for contracts and subcontracts awarded to small business concerns Includes reporting on subcontracting
Regulations:	<ul style="list-style-type: none"> FAR 19.7 / DFARS 219.7 FAR 52.219-9, Small Business Subcontracting Plan DFARS 252.219-7003, Small Business Subcontracting Plan FAR 52.212-5 Contract Terms/Conditions Required to Implement Statutes or Executive Orders – Commercial Items (Jan 2017) 	<ul style="list-style-type: none"> Subcontracting plan requirement Comply in good faith with subcontract plan requirements Contractors with government approved commercial subcontracting plan on a prime contract submit SSR in Federal Electronic Subcontracting Reporting System (eSRS) Authority to acknowledge receipt and approve / reject the report for resides with the Procuring Contracting Officer (PCO) who approved the commercial subcontracting plan

Summary Subcontract Report (SSR) for a Commercial Subcontracting Plan

Submit when?	<ul style="list-style-type: none"> Annually, submit one consolidated SSR within 30 days of the government's fiscal year end (Sep 30), by Oct 30
Submit where?	<ul style="list-style-type: none"> In eSRS at https://www.esrs.gov/ To the contracting organization of the contracting official who approved the commercial subcontracting plan; the contractor must “drill down” within the DoD hierarchy to select the contracting organization
Includes what?	<ul style="list-style-type: none"> Report is based on total subcontract awards for both Federal AND non-Federal Government business, rather than solely Federal Government business Report includes all subcontract awards under all contracts covered by contractor's commercial plan in effect during the Government's fiscal year If performing work for more than one executive agency, Contractor must specify a percentage of total dollars attributable to each agency from which contracts were received; percentages should be small, as this is based on total subcontracting for both Federal AND non-Federal Government business and applies to entire production of commercial items or services sold Cannot show negative numbers
Correct how?	<ul style="list-style-type: none"> Contractor may make changes or corrections to previously submitted reports when reviewer either “Reopens” or “Rejects” the report
Who reviews? When?	<ul style="list-style-type: none"> PCO who approved the commercial subcontracting plan By Dec 15 of each year
Review how?	<ul style="list-style-type: none"> PCO must determine if “Total Dollars” reported represent subcontracting for both Federal Government AND non-Federal Government business PCO should ensure report is complete and correct; includes reasonable explanation for zero dollars and a plan for mitigating during next reporting cycle

Guide to Preparing and Reviewing an SSR for a Commercial Subcontracting Plan (July 2024)

Item	Section	Preparing SSR	Reviewing SSR
A	Type of Plan	Contractor selects “Commercial Plan” as the type of subcontracting plan that requires submission of this SSR.	No action required by reviewer, unless contractor selected incorrect type subcontract plan. REJECT the SSR if not correct type plan.
B	UEI number	Contractor inputs UEI number, which auto fills contractor’s physical and mailing addresses.	No action required by reviewer. NOTE: This UEI number may not match UEI number on contracts. Contractor may choose to provide “parent”, “corporate”, division UEI number.
C	Date Submitted	Contractor selects date from calendar.	No action required by reviewer.
D	Contact Information	Populated by eSRS based on contractor’s registration information.	No action required by reviewer.
E	Reporting Period	Contractor selects government Fiscal Year; eSRS populates period.	If incorrect: REJECT SSR and provide explanation so contractor knows what to correct.
F	Report Submitted As	Contractor selects “Prime Contractor,” “Subcontractor,” or “Both”.	If the contractor selects “Subcontractor”: REJECT the SSR and provide explanation so that contractor knows what to correct. NOTE: A contractor must have at least one prime contract in order to have a commercial subcontracting plan approved by a Contracting Official; a subcontractor (only) cannot have a commercial subcontracting plan; however, eSRS allows a contractor to select “Commercial Plan” and “Subcontractor” when submitting the SSR. NOTE: Construction contractors inadvertently select “Commercial Plan” because they are thinking it includes construction of commercial properties.
G	Contractor’s Major Products or Service Lines	Contractor provides at least one major product/service and the associated NAICS code.	If the contractor indicates construction and related maintenance repair or a NAICS code beginning with 23: REJECT the SSR and provide explanation so that contractor knows what to correct; most likely, the contractor inadvertently selected “Commercial Plan” when they should have selected “Individual Plan”. NOTE: Construction and related maintenance-repair-type work are not acceptable for commercial subcontracting plans (any NAICS code beginning with 23).

Guide to Preparing and Reviewing an SSR for a Commercial Subcontracting Plan (July 2024)

H	Cumulative Fiscal Year Subcontract Awards	Contractor inputs whole dollars; system calculates percentages based on total dollars entered in Line Item 1(c) and validates that the dollars for any of the socioeconomic categories cannot be greater than the dollars for small business in Line Item 1(a).	<p>If totals represent subcontracting dollars spent exclusively on Government or DoD contracts: REJECT the SSR and provide explanation so that contractor knows what to do.</p> <p>NOTE: The dollars represent the total amount of subcontract awards spent (performed in the United States and its outlying areas) for the items/services under the approved commercial subcontracting plan. These totals represent subcontracting for both Federal Government AND non-Federal Government business, rather than solely to Federal Government contracts.</p> <p>If SSR contains negative numbers: REJECT and provide an explanation so contractor knows what to do.</p> <p>NOTE: Contractor should NOT input a negative number on the SSR.</p>
I	Specify Agencies to Which You are Submitting this Report and Percentages of Dollars Attributable to Each	Contractor selects the Federal agencies and/or contracting organizations which awarded prime contracts covered by the contractor's commercial subcontracting plan. For each identified agency/organization, the contractor enters a number which represents the percentage of the total subcontracting dollars attributable to each agency/organization.	<p>Contractor cannot have 100 percent for:</p> <ul style="list-style-type: none"> Federal Government as a whole Any single Government agency Or any combination thereof <p>If so, REJECT the SSR and provide explanation so that contractor knows what to correct.</p> <p>NOTE: Any figure between 50 percent and 99 percent is suspect; one would think that the portion of subcontracting dollars for the Federal Government should be quite small in comparison to the overall subcontracting dollars (on the entire product line or service performed in the United States and its outlying areas) and even smaller to a specific Federal agency; however, this is just a good guideline to follow and is not set in stone; verify with the contractor.</p>
J	Approver	Contractor checks the contracting organization responsible for accepting and rejecting this report.	<p>If the contractor selects Dept of Defense (9700) or the top level of a DoD department/agency and not a contracting office organization: REJECT SSR and provide explanation so that contractor knows what to correct.</p> <p>NOTE: The CO who approved the contractor's commercial subcontract plan is responsible for reviewing and approving the related SSR.</p> <p>NOTE: The CO who approved the commercial subcontracting plan should send an email to other agencies listed with percentages attributable, and request justifications to reject the report; otherwise Reviewer should move forward and approve or reject the report.</p> <p>NOTE: In order to "see" the SSR in eSRS, the approver must be registered in eSRS at the contracting office level. The contractor must select the same contracting office level when identifying the office of the contracting official who approved the commercial subcontracting plan.</p> <p>NOTE: DCMA does not approve commercial subcontracting plans.</p>

Guide to Preparing and Reviewing an SSR for a Commercial Subcontracting Plan (July 2024)

K	Remarks	<p>Contractor provides explanation for zero dollars in any small business category or failed to meet the dollar or percentage goals in the commercial subcontracting plan. The contractor should explain why and provide a plan of action or comments that would be helpful to the person reviewing the report.</p> <p>NOTE: There are no goals for large businesses, HBCU/MI, ANC or Indian Tribe businesses.</p> <p>Contractor includes the contract # of the one awarded contract subject to the plan (contract with latest completion date)</p>	<p>If no comment/explanation or plan of action is provided for categories where contractor entered zero dollars or did not meet goal:</p> <p>REJECT SSR and provide explanation so that contractor knows what to correct.</p> <p>NOTE: The contractor's explanation and mitigation plan should demonstrate its good faith effort in meeting the subcontracting goals. The contractor's effort or lack of effort should be assessed annually in CPARS.</p>
L	Contractor's Official Who Administers Subcontracting Program	Contractor provides name, title and phone number of company official who administers the contractor Small Business Subcontracting Program.	<p>This person is an employee of the contractor and not a Government person. If contractor does not provide correct person:</p> <p>REJECT SSR and provide explanation so that contractor knows what to do.</p>
M	Certification	Contractor certifies that the data being submitted on the report is accurate and that the dollars and percentages reported do not include lower-tier subcontracts, except as set forth for ANC and Indian Tribes.	<p>If contractor does not certify:</p> <p>REJECT the SSR and provide explanation so that contractor knows what to correct.</p>
N	Chief Executive Officer (CEO) and date certified	Contractor provides the full name and title of the CEO or the most senior executive for the company submitting the report; no delegation of authority is accepted. Contractor selects date from pull-down calendar.	No action required by reviewer, unless reviewer has information indicating that the information provided is inaccurate
O	CEO Approval	Contractor indicates whether or not the individual who is listed as the CEO on the report will sign a paper print-out of the report and keep it on file.	<p>If no one certifies:</p> <p>REJECT SSR and provide explanation so that contractor knows what to correct.</p>
P	Email address of Government Employee(s) and/or Other Person(s) to be Notified that you Have Submitted this Report	Contractor provides e-mail address of Government employee(s) and/or other person(s) to be notified about the submitted SSR. eSRS will send a notification to listed parties advising that an SSR was submitted.	<p>No action required by reviewer.</p> <p>NOTE: Reports should not be rejected because a contracting official's email address is not included in the report.</p> <p>This does not mean that those notified have the responsibility to review the report.</p>

Guide to Preparing and Reviewing an SSR for a Commercial Subcontracting Plan (July 2024)

Other Issues

1. Reviewing SSRs
 - a. Accomplishing the “acknowledge receipt” function is not considered acceptance of the reported information
 - b. If reviewer “acknowledges receipt” of the report and later determines the report is incorrect, the reviewer may then “Reopen” or “Reject” the report.
 - c. Reviewer must provide an explanation for the reopened or rejected report so that the contractor knows what to correct
2. For all reports submitted after the Apr and Oct reporting period end dates, the “acknowledge receipt/reject” functions should be completed within 30 days of a contractor’s submission

NOTE: Passwords for all registrations in eSRS must be changed every 90 days. If reset is required, contact the Federal Service Desk for assistance at:

APPENDICES G-I
INDIVIDUAL, MASTER, AND
COMMERCIAL SMALL BUSINESS
SUBCONTRACTING PLAN
TEMPLATES
COMING SOON